

## PATENT COOPERATION TREATY

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

United States Patent and Trademark  
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Crystal Plaza 2  
Washington, DC 20231  
ÉTATS-UNIS D'AMÉRIQUE

in its capacity as elected Office

Date of mailing (day/month/year)  
21 April 1999 (21.04.99)

International application No.  
PCT/GB98/02583

Applicant's or agent's file reference  
545P77650

International filing date (day/month/year)  
27 August 1998 (27.08.98)

Priority date (day/month/year)  
27 August 1997 (27.08.97)

## Applicant

FERRIS, Gavin, Robert et al

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:  
29 March 1999 (29.03.99)

☐ in a notice effecting later election filed with the International Bureau on:  
\_\_\_\_\_

2. The election ☒ was  
☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Facsimile No.: (41-22) 740.14.35

Authorized officer

C. Carrié

Telephone No.: (41-22) 338.83.38

# PATENT COOPERATION TREATY

## PCT

REC'D 07 DEC 1999

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### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 545P77650		See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
<b>FOR FURTHER ACTION</b>		
International application No. PCT/GB98/02583	International filing date (day/month/year) 27/08/1998	Priority date (day/month/year) 27/08/1997
International Patent Classification (IPC) or national classification and IPC H03C1/00		
Applicant RADIOSCOPE LIMITED et al.		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.



2. This REPORT consists of a total of 6 sheets, including this cover sheet.

- ☐ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☒ Certain defects in the international application
- VIII ☐ Certain observations on the international application

Date of submission of the demand  29/03/1999	Date of completion of this report  03.12.1999
Name and mailing address of the international preliminary examining authority:   European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer  Zwicker, T  Telephone No. +49 89 2399 2841  

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/GB98/02583

**I. Basis of the report**

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

**Description, pages:**

1-51 as originally filed

**Claims, No.:**

1-34 as originally filed

**Drawings, sheets:**

1/17-17/17 as originally filed

2. The amendments have resulted in the cancellation of:

- ☐ the description, pages:  
☐ the claims, Nos.:  
☐ the drawings, sheets:

3. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/GB98/02583

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**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Statement**

Novelty (N)	Yes:	Claims	1-34
	No:	Claims	
Inventive step (IS)	Yes:	Claims	
	No:	Claims	1-34
Industrial applicability (IA)	Yes:	Claims	1-34
	No:	Claims	

**2. Citations and explanations**

**see separate sheet**

**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

**see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/GB98/02583

**Re Item V**

**Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. The present application fails to meet the requirements of Art. 33 PCT because the subject matter of claim 1 is not based on an inventive step. The reasons are as follows.

Document D5 (EP-A-0 276 932, see in particular Fig. 1 with corresponding text) cited in the search report discloses (applying the terminology of present claim 1 and the reference numerals found in D5) a:

Communications apparatus (Fig. 1; communication is established between a satellite and a receiver) for receiving a modulated radio-frequency signal (via 4), at least one means (6) for down converting a received modulated radio-frequency signal, means (58, 60) for digitizing a down converted signal and means (62, 48) for exporting at least part of the digitized signal to a personal computing device (8).

This is almost all of the exact wording of present claim 1 which differs from the disclosure of D5 only in that the claim specifies that the digitized signal fed to the personal computer is a **modulated** digitized signal, which appears not to be the case for the signal shown in D5.

However, the skilled person working on an arrangement as given by D5 and seeking a more compact arrangement or increased flexibility (these being self-evident problems the skilled person encounters regularly in his or her daily work) will find it obvious to feed modulated digitized signals to the personal computer, instead of making the transfer to the personal computer after the full demodulation of the signals.

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/GB98/02583

This is because the skilled person is aware of documents such as e.g. document D7 (US-A-5 589 833, see in particular Figs. 2 - 4 with corresponding text) mentioned in the search report. D7 relates to a radar device but is nevertheless closely related to D5 since both documents related to very high frequency radio transmission.

In D7, the skilled person finds that the modulated intermediate frequency signal is digitized and handled by personal computer bus (PC-bus) interface card (see Fig. 3 in D7).

It will therefore be obvious to the skilled person that signal processing is advantageously handled at the IF-level by a personal computer, and therefore will rearrange the set-up given by D5 so as to feed the digitized modulated signal to the personal computer.

2. The same reasoning as above also holds for claim 18, which refers to a transmission system instead of a receiver as covered by claim 1. Since the transmitter (modulation/upconversion) is merely a "reverse" arrangement of the transmitter system (downconversion/demodulation), the skilled person will find it obvious to use a personal computer to generate frequency modulated signals which are then transmitted.
3. It is noted that the present alleged invention appears to be based on the concept that a personal computer is handling more than just the control of signal generating units and actively participates in generating and modulating the signals to be transmitted. This concept appears to be a concept which is self-evident to the skilled person because the versatility of personal computers has long been known to be one of their most attractive assets. Only the limited speed and power handling capabilities of personal computers resulting from the available technology has previously kept the skilled person from programming the PC to perform all tasks in any communications environment. As technology progresses, it is self-evident to the skilled person to let the tasks handled by the PC evolve similarly and to e.g. let the PC handle signal processing.

No inventive activity can be seen in such an application of the PC.

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/GB98/02583

4. The dependent claims appear not to include any features which could form the basis of a new independent claim which could be acknowledged as being based on an inventive step because they all related to features which are either self-evident in the domain of personal computers (claims 2 - 4, 12 - 16, 17 - 21, 30 - 33), or usual practice in the domain of transmitters/receivers (claims 5 - 11, 22 - 29).

Therefore it presently appears that even an independent claim based on a combination of features from the present dependent claims would not meet the requirement of Art. 33 PCT.

**Re Item VII**

**Certain defects in the international application**

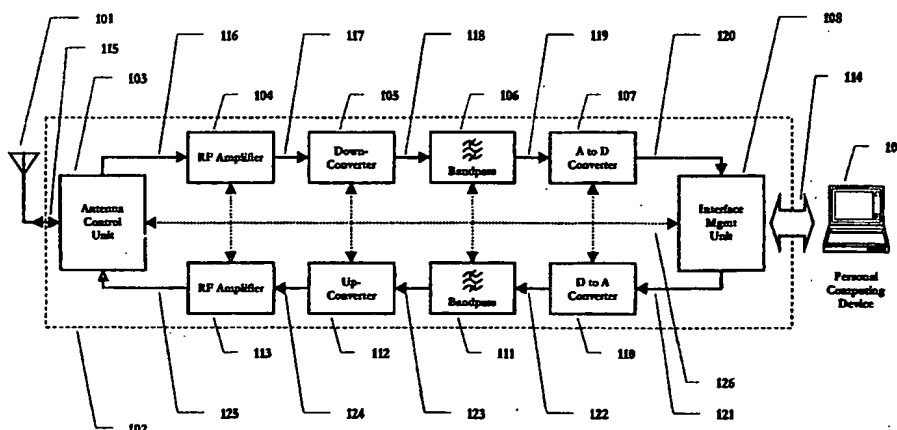
1. The independent claims are not in the two-part form in accordance with Rule 6.3(b) PCT, which in the present case would have been appropriate, with those features known in combination from the prior art (document D5) being placed in a preamble (Rule 6.3(b)(i) PCT) and with the remaining features being included in a characterising part (Rule 6.3(b)(ii) PCT).
2. The features of the claims are not provided with reference signs placed in parentheses (Rule 6.2(b) PCT).
3. Claim 34 contains a reference the drawings. According to Rule 6.2(a) PCT, claims should not contain such references except where absolutely necessary, which is not the case here.
4. Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the documents D5 and D7 is not mentioned in the description, nor are these documents identified therein.



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>H03C 1/00, 5/00, H03D 1/00, 5/00</b>	<b>A1</b>	(11) International Publication Number: <b>WO 99/10976</b> (43) International Publication Date: <b>4 March 1999 (04.03.99)</b>
<p>(21) International Application Number: <b>PCT/GB98/02583</b></p> <p>(22) International Filing Date: <b>27 August 1998 (27.08.98)</b></p> <p>(30) Priority Data: <b>9718131.7</b> <b>27 August 1997 (27.08.97)</b> <b>GB</b></p> <p>(71) Applicant (for all designated States except US): <b>RADIOSCOPE LIMITED [GB/GB]; 34 Mornington Crescent, London NW1 7RE (GB).</b></p> <p>(72) Inventors; and (75) Inventors/Applicants (for US only): <b>FERRIS, Gavin, Robert [GB/GB]; Radioscape Limited, 34 Mornington Crescent, London NW1 7RE (GB). FLORENCE, Peter, Charles [GB/GB]; Radioscape Limited, 34 Mornington Crescent, London NW1 7RE (GB).</b></p> <p>(74) Agent: <b>WALDREN, Robin, Michael; Marks &amp; Clerk, 57-60 Lincoln's Inn Fields, London WC2A 3LS (GB).</b></p>		<p>(81) Designated States: <b>CA, JP, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</b></p> <p><b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>

## (54) Title: COMMUNICATIONS APPARATUS



## (57) Abstract

In a first aspect the invention provides an apparatus for processing radio signals for connection to a personal computing device (PCD), in which a stream of digitised intermediate frequency (IF) data is maintained in at least one direction. In the inbound direction, information is received (104) as radio frequency (RF) signals by the device, down-converted (105) to IF and then digitised (107) and fed into the PCD (109) under control of an interface management unit (IMU 108). Software modules in the PCD then provide whatever demodulation processing is required for the transmission scheme in use. The invention further provides a transmitter apparatus. In the outbound direction, an IF signal is digitally synthesised by software on the PCD (109), according to an appropriate modulation scheme, and the resulting signal is passed to the device via the IMU, where it is used to generate (110) a corresponding analogue waveform. This waveform is then up-converted (112) to RF at the appropriate frequency and transmitted. As the PCD software is able to send commands to the device to set the centre frequency and bandwidth of RF to be accepted and transmitted, the device (together with appropriate software on the PCD) provides the basis for a generic, low-cost radio-frequency communications system. The invention may further provide a transceiver apparatus having both inbound and outbound channels.



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## PCT

## REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

International Application No.

International Filing Date

Name of receiving Office and "PCT International Application"

Applicant's or agent's file reference  
(if desired) (12 characters maximum) 545P77650

<b>Box No. I TITLE OF INVENTION</b>	
Communications Apparatus	
<b>Box No. II APPLICANT</b>	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) RadioScape Limited 34 Mornington Crescent London NW1 7RE United Kingdom	
<input type="checkbox"/> This person is also inventor.	
Telephone No.	
Facsimile No.	
Teleprinter No.	
State (that is, country) of nationality: GB	State (that is, country) of residence: GB
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input checked="" type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
<b>Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)</b>	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) Ferris, Gavin Robert RadioScape Limited 34 Mornington Crescent London NW1 7RE United Kingdom	
This person is: <input type="checkbox"/> applicant only <input checked="" type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only (if this check-box is marked, do not fill in below.)	
State (that is, country) of nationality: GB	State (that is, country) of residence: GB
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input checked="" type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
<input checked="" type="checkbox"/> Further applicants and/or (further) inventors are indicated on a continuation sheet.	
<b>Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE</b>	
The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as: <input checked="" type="checkbox"/> agent <input type="checkbox"/> common representative	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.) Waldren, Robin Michael Marks & Clerk 57-60 Lincoln's Inn Fields London WC2A 3LS United Kingdom	
Telephone No. 0171-400-3000	
Facsimile No. 0171-404-4910	
Teleprinter No. 25311 EMANDC G	
<input type="checkbox"/> Address for correspondence: Mark this check-box where no agent or common representative has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.	

Sheet No. 2

Continuation of Box No. III FURTHER APPLICANTS AND/OR (FURTHER) INVENTORS	
<i>If none of the following sub-boxes is used, this sheet should not be included in the request.</i>	
<p><small>Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</small></p> <p>Florence, Peter Charles RadioScape Limited 34 Mornington Crescent London NW1 7RE United Kingdom</p>	<p>This person is:</p> <p><input type="checkbox"/> applicant only</p> <p><input checked="" type="checkbox"/> applicant and inventor</p> <p><input type="checkbox"/> inventor only (If this check-box is marked, do not fill in below.)</p>
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<p><small>Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</small></p>	<p>This person is:</p> <p><input type="checkbox"/> applicant only</p> <p><input type="checkbox"/> applicant and inventor</p> <p><input type="checkbox"/> inventor only (If this check-box is marked, do not fill in below.)</p>
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<p>This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box</p>	
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State (that is, country) of nationality:	State (that is, country) of residence:
<p>This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box</p>	
<p><small>Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</small></p>	<p>This person is:</p> <p><input type="checkbox"/> applicant only</p> <p><input type="checkbox"/> applicant and inventor</p> <p><input type="checkbox"/> inventor only (If this check-box is marked, do not fill in below.)</p>
State (that is, country) of nationality:	State (that is, country) of residence:
<p>This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box</p>	
<p><input type="checkbox"/> Further applicants and/or (further) inventors are indicated on another continuation sheet.</p>	

Sheet No. 3.....

## B No.V DESIGNATION OF STATES

The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked):

## Regional Patent

- ☐ AP ARIPO Patent: GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, SD Sudan, SZ Swaziland, UG Uganda, ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT
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| <input type="checkbox"/> CU Cuba                                  | <input type="checkbox"/> PT Portugal                                  |
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| <input type="checkbox"/> DE Germany                               | <input type="checkbox"/> RU Russian Federation                        |
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| <input type="checkbox"/> EE Estonia                               | <input type="checkbox"/> SE Sweden                                    |
| <input type="checkbox"/> ES Spain                                 | <input type="checkbox"/> SG Singapore                                 |
| <input type="checkbox"/> FI Finland                               | <input type="checkbox"/> SI Slovenia                                  |
| <input type="checkbox"/> GB United Kingdom                        | <input type="checkbox"/> SK Slovakia                                  |
| <input type="checkbox"/> GE Georgia                               | <input type="checkbox"/> SL Sierra Leone                              |
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| <input type="checkbox"/> GM Gambia                                | <input type="checkbox"/> TM Turkmenistan                              |
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| <input type="checkbox"/> HU Hungary                               | <input type="checkbox"/> UA Ukraine                                   |
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Check-boxes reserved for designating States (for the purpose of a national patent) which have become party to the PCT after issuance of this sheet:

**Precautionary Designation Statement:** In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation of a designation consists of the filing of a notice specifying that designation and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.)

Sheet No. 4

Box No. VI PRIORITY CLAIM				
Filing date of earlier application (day/month/year)	Number of earlier application	Where earlier application is:		
		national application: country	regional application: regional Office	international application: receiving Office
item (1) 27 Aug 1997 (27/08/97)	8718131.7	GB		
item (2)				
item (3)				


☐ Further priority claims are indicated in the Supplemental Box.

☐ The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (only if the earlier application was filed with the Office which for the purposes of the present international application is the receiving Office) identified above as item(s).

\* Where the earlier application is an ARIPO application, it is mandatory to indicate in the Supplemental Box at least one country party to the Paris Convention for the Protection of Industrial Property for which that earlier application was filed (Rule 4.10(b)(ii)). See Supplemental Box.

Box No. VII INTERNATIONAL SEARCHING AUTHORITY			
Choice of International Searching Authority (ISA) (If two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):	Request to use results of earlier search; reference to that search (If an earlier search has been carried out by or requested from the International Searching Authority):		
ISA /	Date (day/month/year)	Number	Country (or regional Office)

Box No. VIII CHECK LIST: LANGUAGE OF FILING	
This international application contains the following number of sheets:	This international application is accompanied by the item(s) marked below:
request : 4	1. <input type="checkbox"/> fee calculation sheet
description (excluding sequence listing part) : 51	2. <input type="checkbox"/> separate signed power of attorney
claims : 5	3. <input checked="" type="checkbox"/> copy of general power of attorney; reference number, if any:
abstract : 1	4. <input type="checkbox"/> statement explaining lack of signature
drawings : 17	5. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s):
sequence listing part of description :	6. <input type="checkbox"/> translation of international application into (language):
Total number of sheets : 78	7. <input type="checkbox"/> separate indications concerning deposited microorganism or other biological material
	8. <input type="checkbox"/> nucleotide and/or amino acid sequence listing in computer readable form
	9. <input type="checkbox"/> other (specify):

Box No. IX SIGNATURE OF APPLICANT OR AGENT	
Figure of the drawings which should accompany the abstract: 1	Language of filing of the international application: English
Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request)	
<del>Wakken, Robin Michael</del>	
	
Devons, David Jon	

For receiving Office use only	
1. Date of actual receipt of the purported international application:	2. Drawings: <input type="checkbox"/> received: <input type="checkbox"/> not received:
3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application.	
4. Date of timely receipt of the required corrections under PCT Article 11(2):	
5. International Searching Authority (if two or more are competent): ISA /	6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid.

For International Bureau use only	
Date of receipt of the sound copy by the International Bureau:	

The demand must be filed directly with the competent International Preliminary Examining Authority or, if two or more Authorities are competent, with the one chosen by the applicant. The full name or two-letter code of that Authority may be indicated by the applicant on the line below:  
**IPEA/ EP 29 March 1999**

# PCT

## CHAPTER II

### DEMAND

under Article 31 of the Patent Cooperation Treaty:  
 The undersigned requests that the international application specified below be the subject of international preliminary examination according to the Patent Cooperation Treaty and hereby elects all eligible States (except where otherwise indicated).

For International Preliminary Examining Authority use only	
Identification of IPEA	Date of receipt of DEMAND
<b>Box No. I IDENTIFICATION OF THE INTERNATIONAL APPLICATION</b>	
Applicant's or agent's file reference 545P77650	
International application No. PCT/GB98/02503	International filing date (day/month/year) 27 August 1998 (27.08.1998)
(Earliest) Priority date (day/month/year) 27 August 1997 (27.08.1997)	
Title of invention Communications Apparatus	
<b>Box No. II APPLICANT(S)</b>	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)	
RadioScape Limited 34 Mornington Crescent London NW1 7RE United Kingdom	
Telephone No.: Facsimile No.: Telex No.:	
State (that is, country) of nationality: GB	State (that is, country) of residence: GB
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)	
Ferris, Gavin Robert RadioScape Limited 34 Mornington Crescent London NW1 7RE United Kingdom	
State (that is, country) of nationality: GB	State (that is, country) of residence: GB
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)	
Florence, Peter Charles RadioScape Limited 34 Mornington Crescent London NW1 7RE United Kingdom	
State (that is, country) of nationality: GB	State (that is, country) of residence: GB
<input type="checkbox"/> Further applicants are indicated on a continuation sheet.	

Sheet No. 2

International application No.

PCT/GB98/02583

**Box No. III AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE**The following person is ☒ agent ☐ common representativeand ☒ has been appointed earlier and represents the applicant(s) also for international preliminary examination.☐ is hereby appointed and any earlier appointment of (an) agent(s)/common representative is hereby revoked.☐ is hereby appointed, specifically for the procedure before the International Preliminary Examining Authority, in addition to the agent(s)/common representative appointed earlier.Name and address. (Family name followed by given name; for a legal entity, full official designation.  
The address must include postal code and name of country.)

Waldren, Robin Michael  
Marks & Clerk  
57-60 Lincoln's Inn Fields  
London WC2A 3LS  
United Kingdom

Telephone No.:

0171-400-3000

Facsimile No.:

0171-404-4910

Teleprinter No.:

25311 EMANDC G

☐ Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.**Box No. IV BASIS FOR INTERNATIONAL PRELIMINARY EXAMINATION****Statement concerning amendments:**

1. The applicant wishes the international preliminary examination to start on the basis of:

☒ the international application as originally filedthe description ☐ as originally filed☐ as amended under Article 34the claims ☐ as originally filed☐ as amended under Article 19 (together with any accompanying statement)☐ as amended under Article 34the drawings ☐ as originally filed☐ as amended under Article 342. ☐ The applicant wishes any amendment to the claims under Article 19 to be considered as reversed.3. ☐ The applicant wishes the start of the international preliminary examination to be postponed until the expiration of 20 months from the priority date unless the International Preliminary Examining Authority receives a copy of any amendments made under Article 19 or a notice from the applicant that he does not wish to make such amendments (Rule 69.1(d)). (This check-box may be marked only where the time limit under Article 19 has not yet expired.)

• Where no check-box is marked, international preliminary examination will start on the basis of the international application as originally filed or, where a copy of amendments to the claims under Article 19 and/or amendments of the international application under Article 34 are received by the International Preliminary Examining Authority before it has begun to draw up a written opinion or the international preliminary examination report, as so amended.

Language for the purposes of international preliminary examination: English☒ which is the language in which the international application was filed.☐ which is the language of a translation furnished for the purposes of international search.☐ which is the language of publication of the international application.☐ which is the language of the translation (to be) furnished for the purposes of international preliminary examination.**Box No. V ELECTION OF STATES**

The applicant hereby elects all eligible States (that is, all States which have been designated and which are bound by Chapter II of the PCT)

excluding the following States which the applicant wishes not to elect:

Sheet No. 3

International application No.

PCT/GB98/U2583

## Box No. VI CHECK LIST

The demand is accompanied by the following elements, in the language referred to in Box No. IV, for the purposes of international preliminary examination:

- |  |   |        |
|--|---|--------|
| 1. translation of international application                              | : | sheets |
| 2. amendments under Article 34   | : | sheets |
| 3. copy (or, where required, translation) of amendments under Article 19 | : | sheets |
| 4. copy (or, where required, translation) of statement under Article 19  | : | sheets |
| 5. letter  | : | sheets |
| 6. other (specify)   | : | sheets |

For International Preliminary Examining Authority use only

received not received

<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>

The demand is also accompanied by the item(s) marked below:

- |  |   |
|--|---|
| 1. <input checked="" type="checkbox"/> fee calculation sheet                             | 4. <input type="checkbox"/> statement explaining lack of signature                                  |
| 2. <input type="checkbox"/> separate signed power of attorney                            | 5. <input type="checkbox"/> nucleotide and/or amino acid sequence listing in computer readable form |
| 3. <input type="checkbox"/> copy of general power of attorney, reference number, if any: | 6. <input type="checkbox"/> other (specify):  |

## Box No. VII SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the demand)

*A. H. W. Luckhurst*

Luckhurst, Anthony Henry William on behalf of  
Waldren, Robin Michael

For International Preliminary Examining Authority use only

1. Date of actual receipt of DEMAND:

2. Adjusted date of receipt of demand due to CORRECTIONS under Rule 60.1(b):

3. ☐ The date of receipt of the demand is AFTER the expiration of 19 months from the priority date and item 4 or 5, below, does not apply.

☐ The applicant has been informed accordingly.

4. ☐ The date of receipt of the demand is WITHIN the period of 19 months from the priority date as extended by virtue of Rule 80.2.

5. ☐ Although the date of receipt of the demand is after the expiration of 19 months from the priority date, the delay in arrival is EXCUSED pursuant to Rule 82.

For International Bureau use only

Demand received from IPFA on:



## PATENT COOPERATION TREATY

→ POK.

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

WALDREN, R.  
MAHKS & CLERK  
57-60 Lincoln's Inn Fields  
London WC2A 3LS  
GRANDE BRFTAGNE

RECEIVED

- 8 DEC 1999

FORMALITIES DEPT

PCT

NOTIFICATION OF TRANSMITTAL OF  
THE INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT  
(PCT Rule 71.1)

Date of mailing  
(day/month/year)

03.12.1999

Applicant's or agent's file reference  
545P77650

## IMPORTANT NOTIFICATION

International application No.  
PCT/GB98/02583

International filing date (day/month/year)  
27/08/1998

Priority date (day/month/year)  
27/08/1997

Applicant  
RADIOSCAPE LIMITED et al.

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

## 4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/



European Patent Office  
D-80298 Munich  
Tel. +49 89 2339-0 Tx: 523050 epmu d  
Fax: +49 89 2339-4465

Authorized officer

Corcos, E

Tel. +49 89 2339-741A



## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>545P77650</b>		FOR FURTHER ACTION <small>See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)</small>	
International application No. <b>PCT/GB98/02583</b>	International filing date (day/month/year) <b>27/08/1998</b>	Priority date (day/month/year) <b>27/08/1997</b>	
International Patent Classification (IPC) or national classification and IPC <b>H03C1/00</b>			
Applicant <b>RADIOSCOPE LIMITED et al.</b>			

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.



2. This REPORT consists of a total of 6 sheets, including this cover sheet.

☐ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 807 of the Administrative Instructions under the PCT).

These annexes consist of a total of sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☒ Certain defects in the international application
- VIII ☐ Certain observations on the international application

Date of submission of the demand <b>29/03/1999</b>	Date of completion of this report <b>03.12.1999</b>
Name and mailing address of the international preliminary examining authority:  <b>European Patent Office D-80298 Munich Tel. +49 89 2339-0 Tx. 523656 eptmu D Fax: +49 89 2339-4465</b>	Authorized officer <b>Zwicker, T</b> Telephone No. <b>+49 89 2339 2641</b> 

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**International application No. PCT/GB98/02583

---

**I. Basis of the report**

1. This report has been drawn on the basis of *(substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments)*.

**Description, pages:**

1-51 as originally filed

**Claims, No.:**

1-34 as originally filed

**Drawings, sheets:**

1/17-17/17 as originally filed

**2. The amendments have resulted in the cancellation of:**

- ☐ the description, pages:  
☐ the claims, Nos.:  
☐ the drawings, sheets:

3. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)).

**4. Additional observations, if necessary:**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/GB98/02583

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement****1. Statement**

Novelty (N)	Yes: Claims 1-34
	No: Claims
Inventive step (IS)	Yes: Claims
	No: Claims 1-34
Industrial applicability (IA)	Yes: Claims 1-34
	No: Claims

**2. Citations and explanations****see separate sheet****VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

**see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/GB98/02583

**Re Item V****Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. The present application fails to meet the requirements of Art. 33 PCT because the subject matter of claim 1 is not based on an inventive step. The reasons are as follows.

Document D5 (EP-A-0 276 932, see in particular Fig. 1 with corresponding text) cited in the search report discloses (applying the terminology of present claim 1 and the reference numerals found in D5) a:

Communications apparatus (Fig. 1; communication is established between a satellite and a receiver) for receiving a modulated radio-frequency signal (via 4), at least one means (6) for down converting a received modulated radio-frequency signal, means (58, 60) for digitizing a down converted signal and means (62, 48) for exporting at least part of the digitized signal to a personal computing device (8).

This is almost all of the exact wording of present claim 1 which differs from the disclosure of D5 only in that the claim specifies that the digitized signal fed to the personal computer is a **modulated** digitized signal, which appears not to be the case for the signal shown in D5.

However, the skilled person working on an arrangement as given by D5 and seeking a more compact arrangement or increased flexibility (these being self-evident problems the skilled person encounters regularly in his or her daily work) will find it obvious to feed modulated digitized signals to the personal computer, instead of making the transfer to the personal computer after the full demodulation of the signals.

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/GB98/02583

This is because the skilled person is aware of documents such as e.g. document D7 (US-A-5 589 833, see in particular Figs. 2 - 4 with corresponding text) mentioned in the search report. D7 relates to a radar device but is nevertheless closely related to D5 since both documents related to very high frequency radio transmission.

In D7, the skilled person finds that the modulated intermediate frequency signal is digitized and handled by personal computer bus (PC-bus) interface card (see Fig. 3 in D7).

It will therefore be obvious to the skilled person that signal processing is advantageously handled at the IF-level by a personal computer, and therefore will rearrange the set-up given by D5 so as to feed the digitized modulated signal to the personal computer.

2. The same reasoning as above also holds for claim 18, which refers to a transmission system instead of a receiver as covered by claim 1. Since the transmitter (modulation/upconversion) is merely a "reverse" arrangement of the transmitter system (downconversion/demodulation), the skilled person will find it obvious to use a personal computer to generate frequency modulated signals which are then transmitted.
3. It is noted that the present alleged invention appears to be based on the concept that a personal computer is handling more than just the control of signal generating units and actively participates in generating and modulating the signals to be transmitted. This concept appears to be a concept which is self-evident to the skilled person because the versatility of personal computers has long been known to be one of their most attractive assets. Only the limited speed and power handling capabilities of personal computers resulting from the available technology has previously kept the skilled person from programming the PC to perform all tasks in any communications environment. As technology progresses, it is self-evident to the skilled person to let the tasks handled by the PC evolve similarly and to e.g. let the PC handle signal processing.

No inventive activity can be seen in such an application of the PC.

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

International application No PCT/GB98/02583

4. The dependent claims appear not to include any features which could form the basis of a new independent claim which could be acknowledged as being based on an inventive step because they all related to features which are either self-evident in the domain of personal computers (claims 2 - 4, 12 - 16, 17 - 21, 30 - 33), or usual practice in the domain of transmitters/receivers (claims 5 - 11, 22 - 29).

Therefore it presently appears that even an independent claim based on a combination of features from the present dependent claims would not meet the requirement of Art. 33 PCT.

**Re Item VII****Certain defects in the international application**

1. The independent claims are not in the two-part form in accordance with Rule 6.3(b) PCT, which in the present case would have been appropriate, with those features known in combination from the prior art (document D5) being placed in a preamble (Rule 6.3(b)(i) PCT) and with the remaining features being included in a characterising part (Rule 6.3(b)(ii) PCT).
2. The features of the claims are not provided with reference signs placed in parentheses (Rule 6.2(b) PCT).
3. Claim 34 contains a reference the drawings. According to Rule 6.2(a) PCT, claims should not contain such references except where absolutely necessary, which is not the case here.
4. Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the documents D5 and D7 is not mentioned in the description, nor are these documents identified therein.

## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/GB 98/02583

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 H03C1/00 H03C5/00 H03D1/00 H03D5/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H03C H03D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 115 201 A (ASPLUND MARK D) 19 May 1992	1,2,4,6, 8,9
Y	see column 2, line 16 - column 3, line 29; figure 1  see abstract	3,5,7, 10, 12-15,17
X	EP 0 501 829 A (TEXAS INSTRUMENTS INC) 2 September 1992	1,2,4,8, 9,11,16
Y	see page 3, line 42-57; figures 1,8	3,5-7, 10, 12-15,17
Y	PATENT ABSTRACTS OF JAPAN vol. 097, no. 003, 31 March 1997 & JP 08 297563 A (YAMATAKE HONEYWELL CO LTD), 12 November 1996 see abstract	3
-/--		



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## ° Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&amp;" document member of the same patent family

Date of the actual completion of the international search

8 December 1998

Date of mailing of the international search report

23/12/1998

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Zwicker, T



## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/GB 98/02583

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	PATENT ABSTRACTS OF JAPAN vol. 095, no. 007, 31 August 1995 & JP 07 095175 A (TOSHIBA CORP), 7 April 1995 see abstract ---	5, 10, 12, 13
Y	EP 0 276 932 A (NORSAT INTERNATIONAL INC) 3 August 1988 ---	6, 7, 12-15, 24, 25, 28-33
A	see the whole document ---	1-17
Y	EP 0 486 095 A (PHILIPS NV) 20 May 1992 ---	26
A	see the whole document ---	1-34
A	US 5 589 833 A (RANDALL MITCHELL A ET AL) 31 December 1996 see the whole document ---	1-34
X	US 4 977 607 A (MAUCKSCH THOMAS ET AL) 11 December 1990 ---	18-23, 27
Y	see column 3, line 19-43; figure 1 ---	17, 24-26, 28-33
A	US 5 357 221 A (MATERO JORMA) 18 October 1994 see the whole document -----	1-34

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/GB 98/02583

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 5115201	A	19-05-1992	NONE	
EP 0501829	A	02-09-1992	US 5347284 A	13-09-1994
EP 0276932	A	03-08-1988	CA 1306797 A	25-08-1992
			US 5019910 A	28-05-1991
EP 0486095	A	20-05-1992	NL 9002489 A	01-06-1992
			AT 148963 T	15-02-1997
			DE 69124663 D	27-03-1997
			DE 69124663 T	31-07-1997
			ES 2100203 T	16-06-1997
			FI 915331 A	16-05-1992
			JP 6037664 A	10-02-1994
			US 5230011 A	20-07-1993
US 5589833	A	31-12-1996	EP 0821795 A	04-02-1998
			WO 9633422 A	24-10-1996
US 4977607	A	11-12-1990	DE 3840498 C	13-06-1990
			EP 0371286 A	06-06-1990
US 5357221	A	18-10-1994	FI 914028 A	28-02-1993
			GB 2259203 A,B	03-03-1993

# PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>545P77650</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/GB 98/ 02583</b>	International filing date (day/month/year) <b>27/08/1998</b>	(Earliest) Priority Date (day/month/year) <b>27/08/1997</b>
Applicant <b>RADIOSCAPE LIMITED et al.</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

1. ☐ Certain claims were found unsearchable (see Box I).
2. ☐ Unity of invention is lacking (see Box II).
3. ☐ The international application contains disclosure of a **nucleotide and/or amino acid sequence listing** and the international search was carried out on the basis of the sequence listing

- ☐ filed with the international application.
- ☐ furnished by the applicant separately from the international application,
- ☐ but not accompanied by a statement to the effect that it did not include matter going beyond the disclosure in the international application as filed.

☐ Transcribed by this Authority

4. With regard to the **title**, ☒ the text is approved as submitted by the applicant  
☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**, ☒ the text is approved as submitted by the applicant  
☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this International Search Report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is:

Figure No. 1 ☒ as suggested by the applicant. ☐ None of the figures.

☐ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

25-254

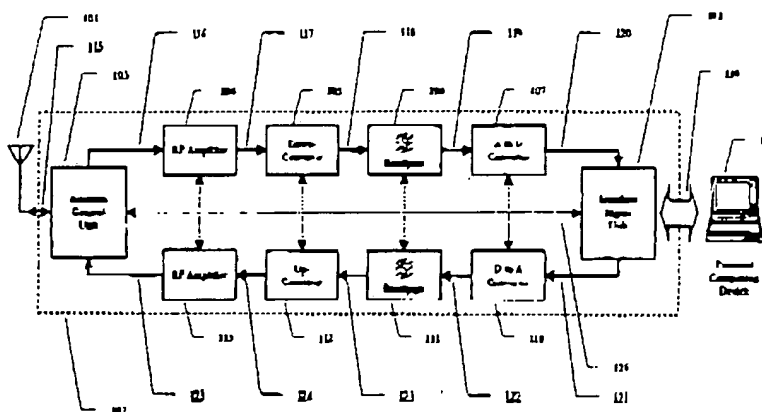
PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: H03C 1/00, 5/00, H03D 1/00, 5/00		A1	(11) International Publication Number: WO 99/10976
			(43) International Publication Date: 4 March 1999 (04.03.99)
(21) International Application Number: PCT/GB98/02583		(51) Designated States: CA, JP, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SF)	
(22) International Filing Date: 27 August 1998 (27.08.98)		Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.	
(30) Priority Data: 9718131.7 27 August 1997 (27.08.97) GB			
(71) Applicant (for all designated States except US): RADIUSCAPE LIMITED (GB/GB); 34 Mornington Crescent, London NW1 7RE (GB).			
(72) Inventors; and (75) Inventors/Applicants (for US only): FERRIS, Gavin, Robert (GB/GB); Radioscape Limited, 34 Mornington Crescent, London NW1 7RE (GB). FLORENCE, Peter, Charles (GB/GB); Radioscape Limited, 34 Mornington Crescent, London NW1 7RE (GB).			
(74) Agent: WALDREN, Robin, Michael; Marks & Clerk, 57-60 Lincoln's Inn Fields, London WC2A 3LS (GB).			

(54) Title: COMMUNICATIONS APPARATUS



## (57) Abstract

In a first aspect the invention provides an apparatus for processing radio signals for connection to a personal computing device (PCD), in which a stream of digitised intermediate frequency (IF) data is maintained in at least one direction. In the inbound direction, information is received (104) as radio frequency (RF) signals by the device, down-converted (105) to IF and then digitised (107) and fed into the PCD (109) under control of an interface management unit (IMU 108). Software modules in the PCD then provide whatever demodulation processing is required for the transmission scheme in use. The invention further provides a transmitter apparatus. In the outbound direction, an IF signal is digitally synthesised by software on the PCD (109), according to an appropriate modulation scheme, and the resulting signal is passed to the device via the IMU, where it is used to generate (110) a corresponding analogue waveform. This waveform is then up-converted (112) to RF at the appropriate frequency and transmitted. As the PCD software is able to send commands to the device to set the centre frequency and bandwidth of RF to be accepted and transmitted, the device (together with appropriate software on the PCD) provides the basis for a generic, low-cost radio-frequency communications system. The invention may further provide a transceiver apparatus having both inbound and outbound channels.

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Communications Apparatus

This invention relates generally to the field of radio frequency receivers and transmitters (which, when incorporated together in one unit are commonly referred to as 'transceivers'). The invention has particular application where such systems are connected to personal computing devices (PCDs), such as laptop PCs or personal digital assistants (PDAs).

The use of radio frequency (RF) electromagnetic waves as a carrier for information, such as analogue audio, digital audio and general digital data, is well known in the communication art. The most familiar exploitation of this is found in television and radio, and a typical receiver for FM radio is shown (in block diagram form) in FIG. 2. In such a receiver, an antenna 201 converts radio frequency electromagnetic radiation (RF) into electrical signals. Since the antenna will convert (to some degree) any RF, some means is needed to select only the desired signal, which, in the case of conventional FM audio broadcasting, will be a particular band of signals with a particular centre frequency and a 200kHz bandwidth. This process is known as tuning, and the degree to which a receiver is able to acquire only the desired signal and reject all others is known as its selectivity. The RF amplifier 202 provides some degree of tuning selectivity, commonly through the use of resonant (LC) circuits on its input and output. The resonant frequency of these circuits is set by the tuning control 211, which will commonly involve variable capacitors. The RF amplifier 202 also increases the system's sensitivity (i.e., its ability to acquire weak RF signals), by increasing the amplitude of the signal.

To provide a well controlled receiver bandwidth and to reduce the frequency of the acquired RF signal, the signal is next passed into a mixer 203, where it is combined with a signal from a local oscillator (LO) 210. The frequency of oscillator 210 is set by the

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tuning control of the device 211 (and modified by feedback from the automatic frequency control (AFC) system 209). The result of such RF/LO mixing, commonly referred to as heterodyning, is to produce the sum and difference of the LO and RF frequencies (together with a number of other frequencies). If these frequencies are denoted  $f_o$  and  $f_i$  respectively, then the primary output of mixer 203 will be  $|f_r \pm f_o|$ . In high side injection, which is most usual in such receivers, the  $f_o$  signal is higher in frequency than the desired frequency  $f_i$ . The difference between them is set to be the intermediate frequency, or IF, by the tuning control 211. If we denote this frequency  $f_i$ , then

$$f_o = f_r + f_i$$

The purpose of this arrangement is to down-convert the inbound RF signal  $f_r$  to a fixed frequency (for that receiver)  $f_i$ , which is then selected by the tuned IF amplifier 204. Because the frequency  $f_i$  is fixed, the bandwidth of the receiver can be accurately controlled, and the IF amplifier therefore provides good selectivity of the  $f_r - f_i$  IF signal. Systems such as that illustrated in FIG. 2 are commonly referred to as superheterodyne (or superhet) receivers. The terms are contractions of "supersonic heterodyne" because they generate a beat signal at frequencies above human hearing.

Care has to be taken with such an arrangement, however, to prevent the receiver from picking up another, unwanted signal, known as the image frequency. This occurs because the system accepts the signal  $f_{im} = f_r + 2 f_i$ , on which it will operate to produce (*inter alia*):

$$f_{im} - f_o = (f_r + 2 f_i) - (f_r + f_i) = f_i$$

In simple systems such as that illustrated in FIG. 2, good image rejection is normally achieved by the tuned RF amplifier 202 suppressing  $f_{im}$ .

The IF signal (which is normally set to  $f_i = 10.7\text{MHz}$  for conventional FM audio receivers) is then passed into a peak clipper 205, which simply conditions the signal (by

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eliminating amplitude changes due to noise) prior to the action of the detector 212. The detector or demodulator, for frequency modulation (FM) converts changes in the frequency of the carrier wave  $f_c$  (now represented by in downconverted IF form as  $f_i$ ) to changes in amplitude of the original, modulating wave  $f_m$ . The primary result of stage 212, therefore, is the recovered audio information, which is passed to an audio frequency amplifier 207 and then on to a reproducer, in this case a speaker 208 which renders the recovered audio information in audible form. Two other supplementary systems are linked to the detector. One, the automatic frequency control (AFC) 209, is fed back to the oscillator to prevent  $f_c$  from drifting. Another, the de-emphasis network 206 modifies the received signal to compensate for the pre-emphasis (treble boost) used in FM transmission, by reducing the strength of the higher-frequency components of the audio signal.

In all of the above discussion, it should be noted that although the RF carrier centre frequency and the local oscillator frequency  $f_s$  are single frequency signals, the frequency of the modulating wave  $f_m$  and the resulting transmitted wave  $f_t$  will generally vary over time (with changes in the amplitude envelope of  $f_m$  altering the carrier frequency, for FM). The difference between the maximum and minimum frequencies of the modulating signal  $f_m$  is known as the modulation bandwidth.

In general, modulation operates by shifting one or more of the frequency, phase or amplitude of a carrier wave (or set of such carriers) in accordance with the modulating signal's instantaneous amplitude. This process creates sidebands around the central carrier frequency, and the difference between the highest frequency significant sideband and the lowest frequency significant sideband is known as the transmission bandwidth. The complementary process to modulation, which takes place at the receiver side to recover the modulating signal is known as demodulation.

A large number of modulation schemes may be used to transmit information via RF emissions, and those well known in the art include frequency modulation (FM), various

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forms of amplitude modulation (AM) (such as full carrier double sideband, suppressed carrier double sideband, and suppressed carrier single sideband), and phase modulation (PM); and these techniques may be applied jointly (as with quadrature amplitude modulation or QAM, which combines amplitude and phase modulation), and in parallel across a number of carriers (as, for example, with the coded orthogonal frequency division multiplex (COFDM) used in the Digital Audio Broadcasting system, defined in ETS 300 401 rev. 2). The situation is further complicated by the emergence of spread spectrum techniques, such as code division multiple access (CDMA), which uses a wide transmission bandwidth that is shared by various transmitting stations, each of which makes use of a unique code sequence to allow the signal to be distinguished from that of the other stations.

Fixed demodulation receivers, such as that shown in FIG. 2, are capable of only a single demodulation scheme. More advanced receivers can demodulate according to a number of schemes. For example, a household radio will normally be capable of demodulating conventional FM and AM, and will also be able to operate across a number of different frequency bands. Yet more sophisticated communications receivers, often referred to as 'scanners', generally implement a large number of demodulation schemes, and can acquire signals over a very wide range of RF frequencies. However, even sophisticated scanners are limited, in that they cannot easily be adapted to demodulate signals using modulation schemes that are introduced after they are manufactured.

FIG. 4 shows an overview of a system proposed by Rosena Labs in WO 9627949, in which a personal computing device (PCD) 405 is connected to a communications receiver 401 via an interface 402. The PCD 405 displays a man-machine interface (MMI) 403 through which the user can select the tuning and demodulation options for the receiver 401, said information being transmitted to the receiver through the interface 402. The PCD 405 can receive demodulated digital information from the device and then display the information using the MMI. It can also receive general tuning information, and signal summary information, such as an indication of the received signal strength



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(RSSI). Note, however, that with this system the PCD is only acting as a sophisticated tuning controller and reproducer – the demodulation is still performed in a dedicated hardware receiver 401.

FIG. 5 illustrates the mechanisms used in the transmission and reception of intelligence by RF, as known in the communication arts. At the transmission side 501, an originating application 503 generates digital data 504, which is then transformed through a number of digital protocols 532. These protocols may serve a number of different functions, and are commonly arranged in a protocol stack, which may be analysed according to the middle layers (2 through 6) of the Open Systems Interconnect model proposed by the International Standards Organisation (ISO/OSI):

7 – Application Layer
Connection to PCD applications
6 – Presentation Layer
Formatting transforms for data (file conversions, etc.)
5 – Session Layer
Persistent connection management
4 – Transport Layer
Safe end-to-end communication
3 – Network Layer
Addressing, routing and switching across a network
2 – Link Layer
Link-based error detection and correction
1 – Physical Layer
How bits are sent and received

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For example, session control (layer 5) could occur here, as could forward error control, such as convolutional encoding and the addition of checksums (layer 2). However, the important thing in the present case is that the result of the high level data protocols 532 is digital data 505/506, ready for transmission.

The next stage is to pass the data into a modulator, in order to encode it onto a signal suitable for transmission at RF. This can be done in a number of ways. The first, commonly used by systems which must send data over an existing analogue transmission system originally intended for audio (such as a voice-based telecommunication system), provides an audio frequency (AF) modulator 508 which renders the data into AF 523, which is then passed on to a subsequent, higher frequency modulation stage 510, in much the same way as AF 507 coming from a conventional audio source (such as a microphone 509) would be. The second (and generally more efficient) way to proceed is to pass the data 505 directly to the modulator 510, and then use an appropriate scheme (such as QAM) to encode it.

The information can be encoded either directly to RF signal 512 by the modulator 510, or encoded by the modulator 510 to IF signal 511, which may then be up-converted (by 513 which will commonly involve a single or multiple superheter) to RF signal 514. In any case, after the modulator stage 510 the information is generally embodied in analogue form.

The RF signal 512/514 is conveyed into the RF transmission subsystem 515 which provides the necessary buffering, matched emission antenna and so on. The information is then propagated through space as electromagnetic waves 516.

These waves may be acquired by a remote receiver station 502, using an appropriate RF front end 517 (reception antenna, RF amplifier etc.). The result is electrical RF signals 518/520, which, depending on the receiver, may either be directly passed 520 to the demodulator 533, or passed 518 to a down-converter stage 519 (which will commonly

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involve a single or multiple superhet), in which it is shifted to an intermediate frequency (IF) 521 and then passed to demodulator 533.

In any event, the (generally analogue) RF 520 or IF 521 signal enters the demodulator 533, where it is detected. In the case of analogue audio intelligence, the detected AF signal 528 may (after amplification) be passed to a reproducer 534 (such as a speaker) which allows the original audio to be heard. In the case of digital data encoded within an AF signal, the recovered intelligence 524 is passed to an AF demodulator 525, which is used to recover the low-level data stream 527. For directly encoded data, the demodulator 533 produces the data stream 526. In either case, the data is then passed 526/527 to higher-level, digital data protocols 529, which perform operations corresponding to the middle layers of the ISO/OSI model. The resulting data 530 is then passed to end-user applications 531.

Turning now to FIG. 3, we can see how, in the prior art, this arrangement for data transmission outlined in FIG. 5 has conventionally been implemented. Consider first the case of an inbound, or forward channel signal. Generally, an antenna 301 is used to convert electromagnetic RF emissions into electrical signals at RF frequency, which are then amplified and subjected to coarse selection by an RF receiver stage 306 (these two stages correspond to 517 in FIG. 5), then brought down to an intermediate frequency (IF) by a down-converter stage 307 (commonly implemented as a single or multiple superhet, corresponding to 519 in FIG. 5) before being subjected to a detector stage 308, which provides the primary demodulation to digital information (or AF), corresponding to 533 in FIG. 5. These three stages 302 are commonly implemented in a single device, such as a cellular telephone 320 or communications receiver 319. The resulting decoded information, corresponding to 526/524 from FIG. 5, is then passed to a higher-level system, which in the case of AF provides secondary demodulation (as per 525 in FIG. 5) and in both cases provides much of the higher level data protocols (529 in FIG. 5). This stage 303 is generally provided by a plug-in hardware card 317 or an external modem 318, and since it deals with digital or AF input the processes it performs do not directly

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depend upon the data having been sent over a radio link. The functions of both 302 and 303 are sometimes combined on a single plug-in card 321. The resulting data stream is then passed to the personal computing device (PCD) 314 where it is consumed by applications (this corresponds to 531 in FIG. 5). At this stage 304 the device in question is generally a personal computer, such as an IBM-compatible PC 315, a 'personal digital assistant' (PDA) 316, or otherwise.

A similar analysis may be made for the generation of an outbound signal (or back channel). Applications on the PCD 314 supply information (corresponding to 503/504 in FIG. 5), which is then passed to a high-level protocol/modulation stage 313 in device 303, responsible for mapping the data through the appropriate protocols (as per 532 in FIG. 5), and possibly transforming it into AF (this corresponds to 508 in FIG. 5). As with input, the transformations in device 303 do not necessarily produce a signal that is specific to transmission over a radio link, since the intelligence is either AF or a direct digital representation on exit. (For example, if rendered to AF, a conventional land-line telephone system could be used to send the modulated information to a remote terminal).

The AF or digital signal is then passed into the radio communications device 302 which encodes the signal (generally to analogue IF; in any event corresponding to the modulator 510 of FIG. 5), up-converts it 310 (generally using a single or multiple superhet arrangement, corresponding to 513 of FIG. 5) and then passes it to an RF amplifier 309 and antenna 305 which emit the final signal (these two corresponding to 515 in FIG. 5). The mapping to physical devices is the same for transmission as reception (other than the obvious requirement that the device, be it communications receiver 319, all-in-one radio modem card 321 or otherwise, have RF transmission capabilities in addition to the reception mechanisms just mentioned). It is much more common in such prior art system to find a transceiver or receiver-only implementation - transmitter-only implementations are fairly rare.

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In the prior art, the use of special hardware, such as digital signal processor integrated circuits (DSP ICs) or analogue detectors (such as a ratio detector for FM demodulation) have conventionally been employed. However, DSPs are expensive to produce, expensive to customise for a particular application (since few engineers have experience of them), and difficult to update in the field to cope with new modulation schemes. Analogue detection circuitry, on the other hand, tends to be extremely inflexible, and cannot cope with the emerging breed of complex, and primarily digital, transmission protocols. For example, the coded orthogonal frequency division multiplex / differential quadrature phase shift keying (COFDM/DQPSK) modulation of the ETSI Digital Audio Broadcasting (DAB) specification (standard ETSI 300 401 rev. 2), is quite beyond the scope of analogue decoders.

There is a generally acknowledged need to provide inexpensive, flexible access to high-bandwidth RF wireless data services, including both forward and back channels, to users of PCDs. However, prior art PCD radio modems, such as 321 in FIG. 3, are generally either very expensive, due to the use of DSP ICs, or unable to transceive at high data rates (because they make use of simple, analogue systems such as binary frequency shift keying (BFSK), and therefore cannot take advantage of modern high-throughput schemes such as COFDM/QPSK or COFDM/QAM). In either case, such modems are generally inflexible – they are designed to handle only one (or a small number) of modulation schemes and RF frequencies, and furthermore, generally do not provide forward compatibility – adapting an existing RF modem to a new communications protocol usually means buying a new modem, or having an troublesome hardware upgrade performed on the device.

It is, correspondingly, an object of the current invention to provide an RF communications apparatus, intended for use with a PCD and a suite of software running thereon, which provides an economical communications system that can easily be adapted to different modulation and demodulation schemes.

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According to a first aspect of the present invention, there is provided communications apparatus comprising means for receiving a modulated radio frequency signal, at least one means for down-converting a received modulated radio-frequency signal, means for digitising a down-converted signal and means for exporting at least a part of the digitised modulated signal to a personal computing device.

According to a second aspect of the present invention, there is provided communications apparatus comprising means for importing a digital modulated signal from a personal computing device, means for converting the digital modulated signal to an analogue signal, means for up-converting the analogue signal to a radio frequency signal and means for transmitting the radio frequency signal.

In a broad sense, the invention is designed to exploit the extremely fast main processor integrated circuits (ICs) and large memory capacity available within PCDs, particularly IBM-PC compatible machines. The processor chips fitted to such systems are often capable of outperforming dedicated digital signal processing (DSP) ICs, and have plenty of spare computing capacity (and other resources, such as memory) available when the user is performing the tasks for which PCDs are commonly employed, such as editing a spreadsheet, writing a letter, browsing files, and suchlike. The cost of the said main processor IC is high, but the user has already paid for the device when he or she bought the PCD (unlike the case of the DSP, which must be included in the price of a DSP-equipped radio modem). In any case, the extremely large and competitive market for PCDs means that the cost per normalised computational operation (NCO) is lower for the main processor IC than the dedicated DSP. Furthermore, the ubiquity of such ICs ensures the existence of a large number of software engineers who are familiar with coding for such architectures, and the presence of advanced development tools to facilitate their efforts. This must be compared with the specialised world of DSP programming, with all its attendant expenses.

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The present invention thus exploits the availability of 'spare' computing capacity on the very device to which the user wishes to connect a radio receiver, transmitter or transceiver (in order to gain access to information services and other RF broadcasts, whether analogue or digital). It is thus beneficial to make use of this capacity, rather than to replicate it by embedding an expensive DSP into the transceiver hardware (or to use a restricted analogue component system). One of the key advantages of the present invention is that it provides the ability to do this, incorporating a communications receiver, transmitter or transceiver 'front end' to a digital IF data pump, which is capable of communicating with adaptable software drivers in the PCD over a high speed data bus. The drivers are responsible for converting the digitised IF to the modulating data contained therein, and for synthesising digital IF representing the modulated components of any outgoing transmission. The ability to use PCD-resident software, and PCD main processor cycles, to process the IF information (whether inbound or outbound) is a key feature of the common invention. It allows the device to be manufactured at a relatively low cost, yet be able to process complex, high-bandwidth RF signals (with a correspondingly high bit throughput), be capable of handling a wide variety of modulation protocols, and be easily extendible to cope with new (or modified, or previously unaddressed) modulation protocols, with the simple addition of 'plug-in' software modules on the PCD.

Other optional features of the present invention will be apparent from the attached dependent claims.

The present invention will now be described by way of example with reference to the accompanying drawings, in which:

FIG. 1 is a functional block diagram showing the major components of one embodiment of the radio frequency (RF) adaptor of the present invention. As is the case with all the drawings, only the major control and data flows are shown; minor control and data flows are not.

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FIG. 2 is a functional block diagram of a frequency modulation (FM) receiver for conventional audio broadcasting, as is known in the prior art.

FIG. 3 is a functional block diagram showing the connection between a radio frequency receiver, a hardware modem, and a personal computing device (PCD), as is known in the prior art. Examples of systems embodying the various stages are also shown.

FIG. 4 is a functional block diagram of an RF scanning radio controlled by a PCD, as is known in the prior art.

FIG. 5 is a conceptual protocol stack diagram, illustrating the end-to-end process of content transmission and reception using RF signals.

FIG. 6 is a functional block diagram of the Antenna Control Unit of the RF adaptor of the current invention (corresponding to 103 in FIG. 1), according to a preferred embodiment.

FIG. 7 is a functional block diagram of the radio frequency (RF) reception amplifier stage of RF adaptor of the current invention (corresponding to 104 in FIG. 1), according to a preferred embodiment.

FIG. 8 is a functional block diagram of the down-converter stage of the RF adaptor of the current invention (corresponding to 105 in FIG. 1), according to a preferred embodiment.

FIG. 9 is a functional block diagram of the (inbound) anti-aliasing bandpass filter unit of the RF adaptor of the current invention (corresponding to 106 in FIG. 1), according to a preferred embodiment.



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FIG. 10 is a functional block diagram of the analogue to digital converter (ADC) unit of the RF adaptor of the current invention (corresponding to 107 in FIG. 1), according to a preferred embodiment.

FIG. 11 is a functional block diagram of the interface management unit (IMU) of the RF adaptor of the current invention (corresponding to 108 in FIG. 1), according to a preferred embodiment.

FIG. 12 is a functional block diagram of the digital to analogue converter (DAC) unit of the RF adaptor of the current invention (corresponding to 110 in FIG. 1), according to a preferred embodiment.

FIG. 13 is a functional block diagram of the (outbound) anti-aliasing bandpass filter and combiner of the RF adaptor of the current invention (corresponding to 111 in FIG. 1), according to a preferred embodiment.

FIG. 14 is a functional block diagram of the up-converter stage of the RF adaptor of the current invention (corresponding to 112 in FIG. 1), according to a preferred embodiment.

FIG. 15 is a functional block diagram of the RF transmission amplifier stage of the RF adaptor of the current invention (corresponding to 113 in FIG. 1), according to a preferred embodiment.

FIG. 16 is an exploded diagram showing an embodiment of the RF adaptor of the current invention fitted as an internal card (such as a PCI card) within a personal computing device (PCD).

FIG. 17 is a rear view of a personal computing device (PCD), showing how two different embodiments of the RF adaptor of the current invention may be connected; one via a

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high-speed parallel bus (such as the SCSI bus) and the other via a high speed serial link (such as the USB).

FIG. 18 is a three-quarter view of a portable personal computing device (PCD), showing how an embodiment of the RF adaptor of the current invention, in the form factor of a PCMCIA card, may be fitted to said PCD.

FIG. 19 is a functional block diagram of the software driver structure which exists on the personal computing device (PCD) to which the RF adaptor of the current invention is fitted according to a preferred embodiment.

FIG. 20 is a functional block diagram showing the major components of one embodiment of a simple radio frequency (RF) receiver adaptor of the present invention.

FIG. 21 is a flowchart showing how a simple demodulation process (in this case, of a signal modulated using binary frequency shift keying) may be performed by driver software running on the personal computing device (PCD) to which the RF adapter of the current invention is fitted, according to a preferred embodiment. Only the physical layer of the ISO/OSI model is shown.

FIG. 22 is a flowchart showing how a simple modulation process (in this case, using binary frequency shift keying) may be performed by driver software running on the personal computing device (PCD) to which the RF adapter of the current invention is fitted, according to a preferred embodiment. Only the physical layer of the ISO/OSI model is shown.

In the following text, a detailed description will be given of the various component parts of the preferred embodiment of the RF adaptor of the current invention.

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A very simple, fixed centre frequency, receiver-only embodiment of the RF adaptor of the current invention is shown in Fig. 20. An antenna 2012 acquires RF transmissions and feeds them into the device 2001 as electrical signals 2007. There, the signals are amplified and subjected to a degree of frequency selection by an RF amplifier 2002. The resulting amplified RF signal 2008 is then passed to a down converter 2003, which, using a fixed frequency local oscillator superhet arrangement, selects the appropriate bandwidth signal and shifts it down in nominal centre frequency to a low intermediate frequency (IF) signal. This IF signal 2009 is then passed into an analogue to digital converter unit 2004 where it is passed through an anti-aliasing filter and then digitised. The resulting digital data stream 2010 is passed to an interface management unit (IMU) 2005, which controls access to a high speed bus 2011, through which the (still modulated) digitised IF signal is passed into the personal computing device (PCD) 2006. As the data is received into the PCD 2006 it is processed by software modules contained therein to provide demodulation and higher level data processing. The demodulated data is then either stored or presented to a user.

The overall scheme of operation for a more complex system, capable of both transmission and reception, and able to operate over a number of different nominal centre frequencies and bandwidths, may best be appreciated with reference to FIG. 1 (a high-level block diagram showing the major components of one embodiment of the RF adaptor device 102 of the current invention). In the system, RF signals are acquired by the antenna 101, and passed 115 into an antenna control unit 103, which provides various matching, switching and diversity functions, and provides IF traps to protect against IF breakthrough. The resultant RF signal 116 is then passed into an RF amplifier 104, which provides a degree of selectivity (variable, tuned response) and sensitivity (by boosting the RF signal strength). The amplified RF signal is not amenable to direct digital processing however, since it will often be at 100s of MHz, which is well above the ability of current PCD processor ICs and data transfer busses, not to mention analogue to digital converters (ADCs). Since the bandwidth of the signal is what is important, however, and not the nominal centre frequency at which it is transmitted, the RF signal 117 is next passed into

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a down-converter 103, which translates the desired frequency band to an appropriate intermediate frequency (IF). The down-converter also provides additional selectivity and amplification of the desired signal.

The IF signal 118 is then passed into a bandpass filter system 106, the primary purpose of which is to restrict the higher frequency components of the signal, to prevent aliasing of the signal captured by the analogue to digital converter (ADC) 107, into which the filtered IF signal 119 is next passed. The purpose of the high speed, high precision ADC unit 107 is to render a digital, sampled version of the IF waveform, which is then amenable to further digital processing. The digitised data stream is passed (on a continuous basis) across data bus 120 to the interface management unit (IMU) 108. The purpose of the IMU is to manage all system functions within the device, and to control the flow of data between the device 102 and the PCD 109. Inbound, the digitised IF signal is buffered within the IMU and transferred to the PCD 109 as appropriate, using a fast bus 114.

The inbound, digitised IF signal is then processed by software modules within the PCD 109, making use of the aforementioned spare capacity (as and when available) on the PCD's main processor IC (or ICs). These modules demodulate and decode the IF signal, and provide any higher level protocol handling (including secondary, AF demodulation if needed) that may be necessary. Data thus recovered may be used by applications running on the PCD, or passed along to other systems as desired.

When data is to be transmitted from the PCD 109 by the device 102, the software modules running on the PCD 109 must first perform any necessary encoding and modulation (including high level data protocols, and initial AF modulation if desired), thereby yielding a synthesised digital IF signal. This information is passed via the high speed bus 114 to the IMU 108, where it may be buffered before being streamed 121 to a high speed, high precision digital to analogue converter (DAC) unit 110. This converts the digital IF signal into an analogue representation 122, which must then be sent through

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an anti-aliasing filter 111, the primary purpose of which is to remove unwanted high frequency components which are a natural by-product of the digital to analogue conversion process. The filtered IF signal 123 is then passed into an up-converter unit 112, which shifts it upwards in nominal centre frequency to the appropriate range for transmission (which will, of course, vary depending on the service in use). The up-converter also provides signal amplification and selectivity at the IF range.

The resulting up-converted RF signal 124 is passed into the (outbound) RF power amplifier 113, which increases its strength to a level appropriate for transmission. This amplified signal 125 is then passed to the antenna control unit 103, where it is connected to the appropriate antenna 101 for transmission. The antenna 101, which may be shared with the receiver antenna or distinct from it, then emits the RF signal as electromagnetic waves, and the cycle is complete.

The device is capable of receiving and transmitting information over a wide number of nominal centre frequencies and signal bandwidths. Control information affecting the frequency and bandwidth selectivity of the device is generated by the drivers on the PC/D 109, under ultimate control of a man-machine interface (MMI). This information is conveyed to the IMU 108 over the data bus 114, and is then relayed by the IMU 108 to the various other component modules of the device 102 over the control bus 126. This bus is also used to transmit performance information (such as signal strength indications and tuning feedback) from the modules to the IMU 108, and between the modules themselves.

The device is capable of operating in a full duplex mode (simultaneous receive and transmit), as well as half duplex (receive and transmit, but never at the same time) and simplex (only either receive or transmit, but not both). Outbound transmissions can have a completely different modulation scheme, bandwidth and nominal centre frequency from the received signals, if desired. The device 102 is also able to 'scan' through various bandwidths and frequencies. Alternatively, or in addition, 'scanning' through

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modulation schemes may take place at the PCD 109 side, to acquire a signal of interest. Note that the system can also process digital tuning information contained (as digital intelligence) within the inbound signal, to allow it to effect a frequency (and possibly modulation) transition to 'follow' the transmission, which is useful for channel-hopping schemes (and for 'handovers' between services, for example DAB (Digital Audio Broadcasting) and conventional FM).

As will be appreciated, the device 102, connected to a PCD 109 as per the above description (and as discussed in greater detail in the following text) provides a unique low cost, high value RF transceiver system although the receiver and transmitter may be provided independently. This system is very flexible, and may be made compatible with future modulation standards through changes to the PCD software. It can operate on wideband and narrowband transmissions, and can (with the appropriate software drivers) receive and transmit single carrier, multiple carrier and spread spectrum signals.

The various components of the preferred embodiment of the RF adaptor of the present invention will now be discussed in more detail.

#### ANTENNA CONTROL UNIT

The first such component is the antenna control unit, corresponding to 103 in FIG. 1, and shown in greater detail in FIG. 6. This subsystem 601 is responsible for managing the connection of the various RF amplification stages of the transceiver (both receive and transmit) to a set of antennae 602. The purpose of any given antenna in the set 602 is to convert outbound RF electrical signals into RF electromagnetic emissions, and inbound RF electromagnetic emissions into RF electrical signals. The antenna switch 603 selects which antenna from the set 602 is to be connected to the RF outbound signal path 610 and/or inbound signal path 623. This system allows a single antenna to be shared for transmission and reception, either in a half-duplex switchover mode, or in a mixed signal mode, and also provides the basis of a multiplexed antenna diversity system. The switch

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is (ultimately) controlled by the operating mode selected by the end user and conveyed to the PCD system (109 in FIG. 1) via a MMI, this information being translated into an antenna switching profile by the driver software (discussed later), which communicates it to the interface management unit (108 in FIG. 1), which in turn issues low-level commands to the antenna switch 603 through the control bus 608. Within the antenna subsystem, a bus management unit 607, co-ordinates the main flow of information between subsystem components and the main feed onto and from the command bus 621.

The antenna tuning and matching unit (ATMU) 604 provides a number of functions. Its primary purpose is to couple the selected antenna or antennae from the set 602 to the rest of the system in an efficient manner. In the preferred embodiment, this system will include switchable baluns, a variable IF trap (consisting of a set of parallel resonant circuits, or equivalent surface acoustic wave or crystal filters, tuned to the IF frequencies injected elsewhere in the receiver, connected between each chosen antenna and earth), and other systems as are well known in the art to tune a particular antenna to receive or transmit within a particular frequency band. It also provides a degree of transient isolation between the antenna set 602 and the rest of the system. Control input is passed to the ATMU 604 via the command bus 608 and hence through control line 612, which will allow selection of different baluns, IF filter rejection profile, and so on.

The ATMU 604 is connected to the RF reception and transmission parts of the system, via an inbound 616 and an outbound 617 line. Feeds taken off the 'raw' signals to and from the ATMU 618 and 619 are passed to the antenna performance monitor 605, which also receives inputs of the signals 623 and 610. The performance monitor is responsible for determining metrics of the degree of 'match' that has been attained between the chosen antennae set and the signal feeds to and from the rest of the system, such as the voltage standing wave ratio (VSWR). These metrics are also used to provide safety switching, closing off the transmission system when no antenna is available (because the user has disconnected it, for example). Information from the antenna performance monitor is fed back 615 onto the control bus 608, where it will be used by the interface

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management unit (and higher level software in the PCD) to make quality of service and antenna matching and switching decisions

Finally within this subsystem, the optional antenna tracking controller 606 is used for those antenna which have electronically controlled variable reception capabilities. For example, a satellite dish system might have the capability to move the dish through a particular sweep, and this functionality would be controlled through the antenna tracking controller. As another example, external antenna systems with built-in amplifiers would have their gain controlled through this system. In one embodiment, the tracking controller is an input/output IC, with lines 613 that can be programmed, modified and interrogated by high-level drivers running on the PCD, via the control bus 614. This arrangement allows the flexibility to extend the system to control many types of antenna and primary signal acquisition systems

#### RF RECEPTION AMPLIFIER

The inbound signal 616 is passed from the antenna control unit 601 to the RF reception amplifier, corresponding to 104 in FIG. 1, and shown in detail in FIG. 7. The RF electrical signal 708 into the amplifier subsection 701 is first subjected to an electronically controlled bandpass filter, 702, which provides a first rejection of signals outside the desired band. This filter may be switched out of circuit entirely when desired (for very weak signals). The resulting filtered signal 720 is then subjected to the wideband radio frequency amplifier 718. The amplifier may be tuned to provide some degree of selectivity towards the inbound signal 720, and to suppress the formation of undesired image, cross-modulation and intermodulation products within the subsequent down-conversion stage; generally, this will be provided by a post-amplification bandpass filter incorporated within the unit 718. The centre frequency and bandwidth of the bandpass filter 702, and the coarse tuning provided by the RF amplifier 718, are selected by the rough tuning controller 704, through interface lines 714/713. This tuning is ultimately under the control of the MMI on the PCD, which then, through the appropriate



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software driver modules, sends tuning commands to the IMU, which in turn sends commands via the control bus 707 to the RF reception amplifier 701 (inter alia). Within the amplifier subsystem 701, the bus management unit 706 manages the flow of information to and from 717 the control bus to components of the subsystem, including the aforementioned tuning control (via 715)

The amplifier unit itself 718 consists, in one embodiment, of a set of switchable amplifiers, each with a distinct 'fingerprint' of bandwidth, gain, frequency and phase response. In such a system, the appropriate amplifier is switched into circuit by the rough tuning controller 704. In the preferred embodiment of the system, however, a single, relatively wideband amplifier is used, with tuning effected by an integral digitally controlled bandpass filter unit managed by the rough tuning controller 704 through line 713

Of course, a primary purpose of the RF amplifier is to provide a degree of signal gain. At nominal reception frequencies below around 3MHz, the amplifier will be set to have very low gain (or be switched out of circuit altogether), because the overall signal-to-noise ratio (SNR) will not be improved by amplification. At higher frequencies, the noise picked up by the antenna (relative to the noise generated in the receiver) falls, making RF amplification worthwhile from an SNR point of view. Overall level optimisation to subsequent subsections of the transceiver, particularly the down-converter, is achieved by setting the appropriate gain. This is managed by the primary gain controller 722, which has a coarse input set (in the manner now familiar from the preceding discussion) by the PCD-resident driver software, communicating via the IMU and control bus 707 under the supervision of the bus management system 706, through control line 719. Note, however, that the amplifier subsystem 701 also contains a primary gain monitor unit 705, which accepts pre-filter 712, post-filter 721 and post-amplification 710 signals, and computes a continuous signal strength metric for each one. This system feeds its results 706 via the bus management unit (DMU) to the command bus 707, for the ultimate consumption of the PCD-resident drivers, but is also capable of operating in a direct feedback automatic

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gain control mode, in which the information computed is passed 711 to the primary gain controller 722, to allow rapid equalisation of the signal. Note that the amplifier 718 should exhibit extremely good linearity.

### DOWN-CONVERTER

Having undergone amplification and selection within the RF reception amplifier 701, the RF signal is next passed 709 to the down-converter stage, corresponding to 105 in FIG. 1, and shown in detail in FIG. 8. The overall purpose of the down-converter stage 801 is to render an amplified version of the desired signal at a much lower nominal centre frequency (but with identical bandwidth) to the received signal. The goal is to bring the signal down to a frequency where it can sensibly be digitised and fed into the PCD in the subsequent stages of the system. Primary signal gain is also possible at the down-converter stage, aided by the fact that a known nominal centre frequency (at some point in the down-conversion chain) may be attained, easing amplifier design (particularly with regard to bandwidth / frequency response).

The incoming, amplified RF signal 816 is passed through a number of superheterodyne stages 808...821 which are responsible for shifting the signal to an appropriate IF point, providing filtering to remove unwanted components, and amplifying the signal to a useful level. In the preferred embodiment of the RF transceiver of the current invention, there are a total of four primary superhet stages (only two 808/821 are shown in the diagram, for clarity), followed by a quadrature superhet 809, although designs with fewer or greater number of frequency conversion stages are possible. Each superhet may be switched out of circuit if required, under control of the intermediate tuning controller 807, which receives input from the driver software on the PCD, via the IMU and the command bus 810. As before, a BMU 803 is responsible for managing the intercommunication 861 between the bus 810 and the local modules. It provides the feed 863 to the intermediate tuning controller. The controller in turn sends messages to each of the superhet stages via command lines 826/827/825.

In an alternative embodiment designed for maximum frequency agility, a two-dimensional matrix of superhet stages is provided, each of which may be switched in or out under the control of drivers running on the PCD. In this arrangement, specialised first and second stage superhets are used for the initial conversion of microwave, UHF and sub-UHF RF.

In another alternative embodiment, so-called 'direct conversion' of the RF signal is employed. Direct conversion involves down-conversion in a mixer using an injected LO signal at the nominal centre frequency. This process obviates the image frequency problem (and corresponding image rejection circuitry), but does introduce a DC component into the signal, because the IF is 'wrapped around' the 0Hz point. This DC component causes serious problems with regard to amplification (see, for example, Abidi, A., "Low-Power Radio Frequency ICs for Portable Communications", pp. 58-60, in Larson, L. (Ed.) RF and Microwave Circuit Design for Wireless Communications, 1996, Artech House Inc., Norwood, MA, USA.), rendering the technique unsuitable for a number of modulation schemes, such as analogue FM and certain multi-carrier systems. Direct conversion is however useful for such schemes as binary FSK (frequency shift keying).

A more detailed view of the functional components of one of the standard superhet stages is shown in 808. The incoming RF signal 841/816/823 is passed into a mixer 831, where it is combined with a frequency 845 from a variable local oscillator (VLO) 834. In a preferred embodiment, the VLO is implemented using digital synthesiser technology, to allow a wide frequency range, excellent accuracy, and rapid reconfiguration. However, other embodiments are clearly possible. In one such arrangement, the first stage of the down-conversion uses a synthesised VLO 834, the frequency of which may be selected by the feed 847/826/827 from the intermediate tuning controller 807, but subsequent stages use fixed frequency oscillators, to reduce cost and complexity. In another arrangement, a varactor diode system, rather than a digital frequency synthesiser, is used

to generate the LO signal 845. In yet another arrangement, in which the capture rate of the analogue to digital converter (ADC) stage (107 in FIG. 1) is sufficiently high that the RF signal may directly be digitised, and it is not desired to perform analogue quadrature, the entire down-converter stage 801 may be omitted. Note also that, although the overall purpose of the down-converter 801 is to shift the acquired RF signal to an accessible IF (or to baseband, or even 0Hz IF, if appropriate), the reduction in nominal centre frequency need not be monotonic across the superhet stages. For example, it may be advantageous, for certain frequency ranges, actually to up-convert the signal in the early superhets, to assist in the reduction of image frequencies in the later stages.

The result of the mixer 831 is then passed 842 into an IF amplifier 832, which will increase the strength of the signal. In a preferred embodiment of the RF adaptor of the current invention, wideband IF amplifiers are used. However, it is also possible to provide a degree of selectivity by using tuned IF amplifiers. In one alternative embodiment of the system, the IF amplifiers 832/836/838 are tuneable under software control, ultimately via the feed 847/859/826/827/825 from the intermediate tuning controller 807. The gain of the IF amplifier stage is set by a feed 864/811/812/813 from the intermediate gain controller subsystem 802. As in the RF stages, taps 821 are taken off the signal as it progresses through the stage 801, and these feed both an intermediate gain monitor 804 and an intermediate tuning monitor 805. The gain monitor reports 815 overall metrics of signal strength gain to the drivers on the PCD via the BMU 803, control bus 810 and IMU. It also has a switchable feedback path 820 to the intermediate gain controller 802, which allows an automatic gain system (AGC) to be employed where desired, which is useful with constant-envelope modulation schemes, such as COFDM/QPSK or conventional FM. This system can be disabled for other, variable envelope schemes (such as AM), for which it may not be appropriate; alternatively, with a sufficiently long integration time for the signal metrics within the gain monitor unit 815, even some variable envelope schemes may enjoy the benefits of AGC.

The main feed 814 to the intermediate gain control is under the ultimate control of driver software in the PCD.

The intermediate tuning monitor 805 provides set of metrics indicating the degree of frequency lock that has been obtained, as computed from the set of input taps 821. In a preferred embodiment, this will involve at least the use of a set of phase-locked loops to generate phase error signals. These metrics are reported back to the PCD drivers via channel 828; however, a feedback path 860 is also provided to the intermediate tuning controller 807, to allow an automatic frequency control (AFC) system to be utilised when required. One use of this would be to shift the injection VLO frequencies in the superhets 808/821/809 to ensure that a 'lock' was maintained on a signal, where the nominal centre frequency of the signal was 'wandering' on the spectrum due to poor transmitter stability, and to compensate for any lack of accuracy or drift in the VLO 834/840 units themselves.

The amplified signal 843 will contain undesirable modulation components, which are then attenuated by the bandpass filter 833, after which the conversion sub-stage 808 is complete and the signal passes on 844/823/822 to the next sub-stage. In a preferred embodiment, the phase and frequency transfer function of the bandpass filter 833 are electronically controlled, by signals sent via a line 848 from the intermediate tuning controller 807.

The initial superhet stages 808...821 are non-quadrature units, operating on signals only in the 'in-phase' or 'I' mode. The output of one stage is fed into the input of the next (e.g., 823 feeds into 821). However, in a preferred embodiment of the system, the final superhet stage 809 is able to operate in a quadrature mode (twin down-converters operating with VLO signals of identical frequency but  $\pi/2$  out of phase). 830 shows this subsystem in more detail. The inbound signal 849/822 is split into two. One signal is heterodyned in mixer 1 835 with the in phase 'I' signal 865 from the VLO 840 to produce the I output 850, which is then boosted in IF amplifier 1 836 to produce the I channel output 855/818. The other signal is heterodyned with a quadrature ('Q') LO

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signal, produced by passing the output 858 of VLO 840 through a switchable phase-shift network 839. The quadrature LO 857 is combined with the signal 851 in mixer 2 837, and the resulting Q channel 854 is then boosted in IF amplifier 2, 838 to produce the Q output 856/817. Note that the quadrature shift system may be switched off if desired (indeed, as with all of the superhet stages, the entire sub-unit may be circumvented if need be, through the use of bypass routing switches, not shown on the diagrams for clarity). If the system is switched off or bypassed, only the I output 855/818 will contain a valid signal for processing by the rest of the system.

As with the earlier superhet stages, and according to a preferred embodiment, the VLO 840 is provided by a frequency synthesiser under the management of the intermediate tuning controller 807 (and ultimately, driver software running on the PCD), through a line 859/825. The driver modules in the PCD also manage the phase shift network through feed 860/824, and there is a pass-through control 853 to IF amplifier 2 838, to allow it to be switched out when the IQ system is not required. The gain of this amplifier 838 is set by the intermediate gain controller through line 852/813, and there is a pass-through control 866 for the gain of amplifier 1 836 also provided.

The primary reason for the quadrature superhet system 809 is to provide for efficient FFT analysis of the signal when subsequently taken into the PCD

#### ANTI-ALIASING BANDPASS FILTER

Having thus been down-converted to a workable frequency, the next stage is to pass the inbound signal 818/855 (or I/Q signals 818/855/817/856) to the anti-aliasing bandpass filter unit, corresponding to 106 in FIG. 1, and shown in detail in FIG. 9. The primary purpose of this system 901 is to ensure that the inbound signals (to ease description, it will be assumed from this point that both the I 902 and Q 903 signals are to be handled, although for certain operational modes only the 'I' signal 902 may be present, as discussed above) 902/903 are purged of frequencies that might cause aliasing within the

subsequent analogue to digital converter (ADC) stage. Aliasing occurs when an ADC 'mistakes' a frequency above its safe acquisition rate for a lower frequency, causing an spurious reading. According to the Nyquist sampling theorem, an ADC must sample at twice the highest frequency contained in the signal by the same token, the function of the anti-aliasing filter before the ADC must be to remove any signals that have a frequency higher than half that of the ADC sampling frequency. To this extent, it might appear that a simple lowpass filter at half the sampling rate would suffice for 901, but this is not the case for a number of reasons. Firstly, there may be lower-frequency components which are not needed in the signal, which will require the filter to be a bandpass, rather than a simple lowpass. Secondly, the roll-off of conventional (non-active) low-pass filters is poor, so placing a cut-off at half the sampling frequency is dangerous. Third, the sampling rate of the ADC in a system such as that described here must, for efficiency, be variable, and will be proportional to the bandwidth of the required signal. This will mean that the frequency and phase response curves of the filter will have to be modifiable.

For these reasons, the anti-aliasing filters in a preferred embodiment of the device are implemented as electronically variable active bandpass units, of high quality. Their parameters are set, ultimately under control of driver software running on the PCD, through the control bus 912. The BMU 911 interfaces 914 to the bus 912, and passes information to and from the filter controller 910. This unit is responsible for driving the actual filters 906/907 through control lines 908/909.

### ANALOGUE TO DIGITAL CONVERTER (ADC)

Having undergone bandpass filtering to remove undesirable components, the down-converted I/Q signal is next passed 904/905 to the analogue to digital converter (ADC) stage, corresponding to 107 in FIG. 1, and shown in detail in FIG. 10.

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The overall purpose of the ADC unit 1001 is to render the down-converted I/Q signals 1002/1003 into digital form, in order that they might be submitted in the PCD for digital signal processing and demodulation (once again, it will be assumed for ease of description that both I 1002 and Q 1003 signals are to be acquired, although in some modes only the I 1002 signal will be of interest, in which case it alone will be acquired).

The signal conversion, from analogue to pulse-code modulated (PCM) digital data, is provided by two ADCs 1009/1010. In a preferred embodiment of the RF adaptor of the current invention, these ADCs are capable of sampling operation over a wide frequency range (up to around 50 million samples per second (MSPS), operate in 'flash' mode (have a constant conversion time across their legal input range), provide at least 16 bits of accuracy, and are monotonic with no missing codes and high transfer function linearity. Other embodiments are envisaged in which, to lower costs, simpler ADCs are used, such as 2MSPS 8 bit devices, or just one ADC operating on the I channel only (there being no quadrature superher in the down-converter). Clearly, due to Nyquist, the rate of sampling must be at least twice that of the highest frequency allowed through by the bandpass filter unit (106 in FIG. 1), and preferably somewhat more for safety. This is ultimately determined by the widest bandwidth signal which the device is designed to acquire. The digitisation of a narrowband Mobitex signal, at 12.5kHz bandwidth, would be comfortably be captured (subject to suitable down-conversion to a nominal AF centre frequency of no more than 9.75 kHz) by a 32kSPS ADC. A wideband CDMA signal, however, would require much higher sampling rates. Clearly, there are a number of practical considerations for the speed and accuracy of the ADCs. The limits of existing analogue to digital technology, ultimate bus speed into the PCD, and processing speed of the PCD all place constraints upon the highest feasible sampling rate. However, it is expected that, following the historical trend, the capability of each of these factors will rise over time, at which time higher sampling rate ADC units may be incorporated within embodiments of the RF adaptor of the current invention. Another point to note is that, if the acquired signal is destined to be processed by a fast Fourier transform (FFT) within the PCD, then the sampling rate should be arranged such that, for a FFT window time



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which provides an orthogonal transform to the original carriers (if this is the modulation mechanism used), the number of samples taken is a power of 2. This makes the FFT operation more efficient.

The sampling rate vs. time function is controlled by the sample controller 1006, under the ultimate management of driver software running within the PCD. Commands are issued to the sample controller via the command bus 1007. BMU 1016 interfaces the bus 1017 to the sample controller 1006 via line 1008, and to the multiplexer 1014 (of which more in a moment) via line 1013. The sample controller implements the sampling clocks for the ADCs, and sets other parameters (such as 8/16 bit sampling mode, if appropriate) through the interface lines 1005/1004. The sampling clock is synthesised from a main high-frequency clock which is distributed through the bus 1007 on a shielded line, however, the sampling is effectively asynchronous with respect to the PCD clock. The sample controller also contains a real time clock counter (RTCC) to allow the generation of non-constant sampling functions. This is useful for a number of different applications. For example, in a TDMA (time division multiple access) scheme, the system will only be required to 'capture' information being passed on the inbound signals 1002/1003 within a particular cyclical time 'slot' - at other times the samplers can be shut off. The sampling frequency function in such a scenario would be a rectangular wave train. Similarly, multi-rate signals may be present on a single channel over time (for example, low bandwidth control signals followed by high bandwidth data signals). In this case, the sampling rate would be decreased and increased as required by the sample controller 1006, to ensure that at any given point in the signal where information of interest is carried, that the Nyquist criterion is met without excess sampling (which will only cause unnecessary load on the system, with little or no added benefit).

The digitised I 1012 and Q 1011 bussed parallel signals are next passed into a multiplexer (MUX) unit 1014. The purpose of this is to render them into a single parallel stream to ease subsequent processing. The MUX 1014 is controlled through line 1013, ultimately under the management of the drivers within the PCD. The main purpose of

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this control is to switch the MUX between pass-through mode (in which only the I 1012 channel contains any data, and is simply connected to output bus 1015), and interleave mode (in which both the I 1012 and Q 1011 channels contain data, and must be time-division multiplexed into the single bussed signal 1015). Note that bussing is used because, at the high sampling speeds required of the ADCs when broadband signals are involved, serial connectivity would be very inefficient. Also note that, with respect to the main system bus and microcontroller clock, the ADCs 1009/1010 and MUX 1014, and their respective busses 1012/1011/1015 are effectively running asynchronously.

#### INTERFACE MANAGEMENT UNIT (IMU)

The bussed, multiplexed digital I/Q sample stream is then passed 1015 to the interface management unit (IMU) stage, corresponding to 108 in FIG. 1, and shown in detail in FIG. 11.

As its name suggests, the main purpose of the IMU is to manage the interface between the personal computing device (PCD, 109 in FIG. 1) on which the driver modules reside, and the rest of the system. The bussed inbound signal 1109 from the ADC unit (107 in FIG. 1) is passed into a first-in first-out (FIFO) buffer memory unit 1110, which allows the effectively asynchronous data capture to be interfaced to the microprocessor 1113 and passed to the host PCD. It also prevents data being lost if the microprocessor 1113 should be busy. The FIFOs 1110 and 1114 can be controlled from the outside (namely, from the ADC and DAC units, respectively, 107 and 110 in FIG. 1), via the control bus 1120. The bus management unit (BMU) 1118 controls the flow of information to and from 1133 the control bus 1120 and the various sub-stages of the IMU, including a bussed connection 1121 to the microprocessor 1133 and various control lines. One set of controls 1142 drives the input port management of FIFO 1110 under the ultimate control of the ADC stage (107 in FIG. 1); another drives the output port management of FIFO 1114 under the ultimate control of the DAC stage (110 in FIG. 1). The output port to inbound FIFO

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1110 is controlled by the microprocessor 1113 via line 1111, which allows the microprocessor 1113 to read samples off the FIFO 'queue' via bus 1108.

The microprocessor 1113, on receipt of information from the ADC stage (107 in FIG. 1), is responsible for performing whatever preliminary processing (if any) is required (which, in the present invention, does not involve demodulation), and then passing the information on to the PCD where the main signal demodulation will take place. The microprocessor 1113 may insert timing information into the sample stream to assist the PCD routines if desired. On the reverse path, it is responsible for taking any modulated, digitised data from the PCD and passing it through bus 1112 to the input port of the outbound FIFO 1114, controlled via line 1134.

In the preferred embodiment of the RF adaptor of the current invention, little signal processing of any significance occurs within the microprocessor, most of this being left to the more powerful main processor (or processors) within the PCD itself. To clarify, in the preferred embodiment, no demodulation of the inbound signal or modulation of the outbound signal is provided by the IMU, these functions all being performed in software executing on the PCD. However, in the preferred embodiment, 'time slicing' of inbound signals is a function of the IMU. This is of use in time division multiplexed (TDM) systems, where the inbound signal is only of interest during certain periodic intervals. In such cases, the drivers on the PCD instruct the IMU to transfer data only during those relevant intervals, and to discard data at other times. This eases the burden of data transfer, while not detracting from the requirement that the PCD demodulate the digitised, 'sliced' signal that is passed through.

The microprocessor 1133 has a significant role to play in managing the overall behaviour of the various components of the transceiver system, under the ultimate control of the software drivers within the PCD. This is beneficial, as it allows that, during the short periods where the PCD is too busy to respond, the RF adaptor can still function correctly with respect to frequency hopping, output power attenuation, TDMA signalling and other

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behaviours which may be required by a particular transmission scheme in use, whether on the forward or back channel. It also provides a significant advantage from a regulatory point of view, since the microprocessor 1133 ultimately sets the legal frequency ranges and bandwidth parameters for the device, and so it can act as a reliable block on illegal transmissions and reception intercept attempts, even should the user attempt to circumvent these provisions by writing a 'rogue' driver for the PCD (or should a driver error occur). A cryptographic authentication must be passed from the driver to the microprocessor in order to allow the system to modify the spectral/power mask.

The microprocessor 1133 has access 1129 to a main memory bus 1125 to which three different types of randomly addressable memory unit are connected. The first is the workspace random access memory (RAM) 1124, which is connected via access bus 1126, and which is used to hold the running state of programs running on the microprocessor 1133. The second is the non-volatile RAM 1123, which is connected via access bus 1127, and which is used to hold configuration information that must not be lost upon power loss (such as, for example, unit identity information, software patches, legal spectrum/power masks, counts of transmitted energy against time, and so on). The third is the read-only non-volatile memory (ROM) 1122, which is connected via access bus 1128, which is used to hold non-changing information within the system such as core software routines. The microprocessor 1133 is protected from 'hanging' by watchdog timer 1116, which will cause a reset condition should the processor fail to update it at suitably frequent intervals via control line 1115. The master clock for the synchronous part of the processing arrangement 1117, which, in a preferred embodiment is generated by division of the PCD interface bus 1138 clock if one is available, is distributed on the control bus 1120 through line 1118, and is also distributed to all the main components of the IMU 1101.

In normal operation, upon initialisation the drivers within the PCD may download software routines into the workspace RAM 1124 or non-volatile RAM 1123, to customise the operation of the device (although any tuning or power commands issued must fall

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within the legitimate power/spectrum mask, as mentioned above, or they will not be executed). It will easily be appreciated by those of ordinary skill in the art that an embodiment of the RF adaptor of the current invention is possible, in which some or all of the functions within the IMU 1101, and indeed some or all of the control bus 1120 and some or all of the functions of the associated 'controllers' (such as, for example, the rough tuning controller 704 in FIG. 4, and bus management units throughout the system), are replaced by a single microcontroller (or small number of microcontrollers), to reduce cost.

After any processing which may be required (as discussed above), inbound data is passed by the microprocessor 1133 to the input port of the FIFO buffer 1106 via bus 1107, with port control line 1136. This queue of received, digitised (and interleaved, in I:Q mode) samples is then read from the output port of the FIFO 1106 by interface protocol driver (IPD) 1103, via bus 1105, with port control line 1140. The IPD is responsible for dealing with the various control lines, voltage levels, handshaking and coding that are required by the particular PCD bus interface in use, under the control of the microprocessor 1133 via line 1135. For example, depending on the embodiment, IPD 1103 may implement any or all of: a small computer system interface (SCSI) interface driver, a PC memory card international association (PCMCIA) interface driver, a universal serial bus (USB) interface driver, a peripheral component interconnect (PCI) interface driver, an industry standard architecture (ISA) or extended industry standard architecture (EISA) interface driver, a RS423/232 interface driver, or a driver for any other high-speed interface with which modern PCD devices are now or come to be equipped. Clearly, the faster the interface the better, particularly if the system is to be used to acquire high-bandwidth signals. In such a case, the slower ports (such as the conventional serial RS423/232) will be entirely unsuitable unless only a small amount of signal is occasionally required, in which case the adapter could buffer the signal prior to transfer. Furthermore, for best performance, the interface bus should be able to support the function of direct memory access (DMA), which allows data to be streamed into and from the memory of the PCD without the interaction of the main processor unit on the PCD. This type of arrangement

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has the advantage of producing much less load upon the PCD system. Indeed, in an alternative embodiment of the RF adaptor of the current invention, the input FIFO 1110 is able directly to pass information directly to the output FIFO 1106, and input FIFO 1131 is able to pass information directly to output FIFO 1114, to maximise throughput. In any case, the inbound information (together with clocking, interrupt and other control lines which may be read and/or written by the IPD 1103) are passed via connection 1138 to the main memory bus of the PCD.

Once the data has been passed to the PCD, it is stored within the main memory (or streamed to a secondary or tertiary storage device). Eventually, it is digitally processed using software to demodulate it and recover the originally transmitted information. The system of "plug in" drivers that perform such operations will be discussed in more detail later in this description.

Next, however, consider the reverse path to that just considered, in which information is first modulated in software on the PCD to generate a stream of pulse-code modulated (PCM) digital samples which represent a low intermediate frequency (IF) (down to the a range from 0Hz to  $f_{\max}$  Hz in the limit where  $f_{\max}$  represents the bandwidth of the synthesised signal – although it will be better if centred around a somewhat higher point than  $f_{\max}/2$ , to avoid DC blocking effects etc.)

In a preferred embodiment, the synthesised signal samples are placed by the PCD drivers into a section of memory within the PCD as they are generated, from where they are fetched using DMA by the IPD 1103 over the connection 1138 to the PCD's main memory bus 1102. In alternative embodiments, symmetric to those discussed for the input case previously, data is streamed by the IPD from where the PCD drivers have placed it, on a secondary or tertiary storage device, or is generated in 'full real time' and passed directly from the PCD's main processor (or processors) to the DMU 1101. In any case, the outbound data (which may contain cueing and other control information) is passed 1132 into the input port of FIFO 1131, managed by port control line 1139. The

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microprocessor 1133 reads samples from this queue via bus 1130, using control line 1137, performs any necessary (management) processing, and then passes these samples to the input port of FIFO 1114 via bus 1112, managed by port control line 1134. The data is then read 1113 from this FIFO's 1114 output port by the asynchronous digital to analogue converter (DAC) (110 in FIG. 1), with control line 1141 being connected through the main control bus 1120. Note that an alert condition will be signalled should the DAC require data from the FIFO 1114 in the situation that this FIFO is empty, since in this case a discontinuity will occur in the output signal. The microprocessor 1133 may be programmed to provide some form of emergency sample substitution in this case, such as a damped predictive decay projection. Note that the microprocessor 1133 is responsible for detecting control commands from the driver units (and interleaving in messages coming back to the drivers via the control bus 1120), and passing these messages on to the bus management unit 1119 which will then either direct them to the appropriate module locally within the IMU 1101 or pass the message via the control bus 1120.

#### DIGITAL TO ANALOGUE CONVERTER (DAC)

In the normal case, however, the bussed, multiplexed digital I/Q sample stream is passed 1113 to the digital to analogue converter (DAC) unit, corresponding to 110 in FIG. 1, and shown in detail in FIG. 12.

Within the DAC 1201, the outbound stream 1215 of interleaved, PCM I/Q samples is first passed into demultiplexer (DEMUX) 1214. This unit, which is controlled through line 1213, and is ultimately under the management of the software driver modules within the host PCD, extracts the in-phase ('I') 1212 and quadrature ('Q') 1211 data streams from the interleaved common stream 1215. Note, however, that as with input, there are two primary operating modes for output, and, in a second mode, only I signals are generated. In such a case the DEMUX 1214 operates in a passthrough mode, and only the I DAQ 1209 is used.

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The primary purpose of the DAC stage 1201 is to convert the digital PCM sample stream into analogue signals which can then upconverted and transmitted. This process is performed in two high-speed DACs 1209 and 1210. It will be assumed for ease of description in the following that both I 1212 and Q 1211 signals are to be generated, although in some modes only the I signal 1212 will be of interest, and the other Q DAC 1210 will be switched out of circuit.

In a preferred embodiment of the RF adaptor of the present invention, these DACs 1209/1210 are capable of generating signals based on input over a wide frequency range, from just above DC to around 50MSPS, with fixed-lag conversion, high (at least 16 bit) accuracy, and are monotonic with no missing codes and high transfer function linearity. However, other embodiments are also possible, in which, to lower costs, simpler ADCs are used, such as 2MSPS 8 bit devices, or a single ADC operating on the I channel stream only (with the removal of the quadrature channel from the outbound phase of the design entirely, removing the additive mixer (1314 in FIG. 13) also).

As with the inbound channels, the outbound data rate has to be provided at twice the rate of the highest frequency to be generated in the analogue I 1202 and Q 1203 signals (due to the Nyquist sampling theorem), and preferably somewhat higher to account for the finite roll-off of real-world bandpass filters. This synthesising rate will be set under control of the driver software modules in the PCD, depending on the bandwidth of the signal being synthesised. Commands to set the conversion rate are passed through the control bus 1207, and are accepted by the bus management unit (BMU) 1216 through connection 1217. They are then directed to the DAQ controller 1206 via control line 1208. The DAQ controller 1206, under said instruction, implements the sample generation clocks for the DACs, and sets other parameters (such as 8/16 bit resolution, for example) through the interface lines 1205/1204. As for the ADC stage (107 in FIG. 1), the sample generation clock is synthesised from a main high-frequency clock which is distributed through the bus 1207 on a shielded line. Generation of samples is essentially



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asynchronous with respect to the PCID clock - indeed, one of the main functions of the feeder FIFO (1114 in FIG. 11) is to match the synchronous clock DMU system (108 in FIG. 1) with the (relatively) asynchronous DAC system 1201

The DAQ controller 1206 contains a real time clock counter (RTCC) to allow the generation of non-constant sample-generation functions. This is useful, for example, with TDMA (time division multiple access), in which the system is only allowed to transmit data within a particular cyclical time 'slot'. With such a system the DACs 1209/1210 can be shut off at other times, to conserve power, and the sample generation function in such a case would be a rectangular wave train. A similar argument would hold for the generation of variable-bandwidth signals

#### ANTI-ALIASING BANDPASS FILTER AND COMBINER

When the PCM sample stream is converted into analogue electrical waveforms (either just the I signal 1202 or I - Q 1202/1203), the next stage is to pass these signals to the anti-aliasing bandpass filter and combiner unit (AABFCU), corresponding to 111 in FIG. 1, and shown in detail in FIG. 13.

The overall purpose of the AABFCU is to remove the high frequency noise that is generated by the digital to analogue conversion process just discussed. The outbound signals 1302/1303 will contain unwanted harmonics due to the quantized nature of the DAC process, and which exist above the Nyquist point of half the sample generation frequency. These signals must not be allowed to reach the up-converter, or they will produce many modulation products and possibly generate interference that will be transmitted by the antenna.

The most straightforward remedy might seem to be to apply a simple lowpass filter to each of the I 1302 and Q 1303 signals, but this is not the case for a number of reasons. Firstly, there may be a 'sampling floor' on the signal, which may have been synthesised

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at a nominal centre frequency that is higher than half the bandwidth. In this case, any signal components with a frequency lower than that of the synthesised signal must be suppressed, which will require the filter to be bandpass, rather than simple lowpass. Secondly, since the roll-off of conventional (non-active) filters is poor, placing a cut-off at half the sampling frequency is not sufficient. Third, since the DAC sample generation rate in the sort of system herein described must, for efficiency, be variable, in proportion to the bandwidth of the synthesised signal, the frequency and phase response curves of the filter will have to be dynamically modifiable, which is not possible with a simple passive filter.

For these reasons, the anti-aliasing filters in a preferred embodiment of the RF adaptor of the current invention are implemented as electronically variable active bandpass units, of high quality. Their parameters are set, ultimately under control of driver software running on the PCD, through the control bus 1311. The bus management unit (BMU) 1306 interfaces 1310 to the bus 1311, and passes information to and from the filter controller 1315 via line 1305 and the mixing controller 1307 via line 1308. The filter controller 1315 then uses interface lines 1317/1318 to control the operation of the bandpass filters 1313/1312.

The bandpass filtered I/Q signals 1320/1319 are next passed into an additive mixer unit 1314. The operation of this unit is managed by the mixing controller 1307, under ultimate management of the driver units running on the PCD. The purpose of the mixer 1314 is to combine the I and Q signals in an additive manner to produce a single composite I+Q signal 1304. Note that when the system is operating in 'I-only' mode, the mixer is switched out by the mixing controller 1307, so that the output signal 1304 becomes a straight passthrough of the filtered 'I' signal 1320.

The method of operation of the mixer 1314 will commonly involve heterodyning with an LO signal and that LO signal shifted  $\pi/2$  out of phase. The details of this system are not shown here for clarity, since quadrature will be much less frequently used on the

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synthesis path than the analysis path ( details of I and Q reconstruction systems may be found in Haykin, S., Communication Systems (3rd edition), pp.85-89, John Wiley & Sons, Inc. New York, NY, USA)

Of course, it should be appreciated that the 'I only' mode will have the I and Q components combined together in digital form, and produced as a real ('I') sample stream - there is nothing 'missing' from the signal. A similar analysis can be performed for the 'I only' mode of the inbound signal

#### UP-CONVERTER

In any case, the I or I-Q analogue signal 1304 to the up-converter stage, corresponding to 112 in FIG. 1, and shown in detail in FIG. 14. The overall purpose of the up-converter stage 1401 is to render an amplified version of the desired signal at the target transmission nominal centre frequency, which will in general be much higher than the nominal centre frequency at which the signal was synthesised (that will normally be at, or just above, half the required signal bandwidth)

Primary signal gain is also possible at the up-converter stage, aided by the fact that a known nominal centre frequency (at some point in the up-conversion chain) is attained, easing amplifier design (particularly with regard to the bandwidth / nominal original central frequency response).

The incoming, IF signal 1425/1429 is passed through a number of superheterodyne stages 1424..1442..1410 which are responsible for shifting the said signal to the appropriate RF nominal centre frequency ready for transmission, providing filtering to remove any unwanted components, and amplifying the signal to a useful level prior to the final RF transmission amplifier stage (113 in FIG. 1). In the preferred embodiment of the RF transceiver of the current invention, there are a total of three primary superhet stages, although designs with fewer or greater number of frequency conversion stages are

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possible. In an alternative embodiment, a number of frequency multiplication units are utilised within the up-converter 1401. For certain types of signals, up conversion may best be achieved by a switched combination of frequency multipliers and superheters, rather than by superheters alone. However, frequency multipliers do have the disadvantage that the frequency deviation of the signal is multiplied when the nominal centre frequency is multiplied – which can mean, in effect, a reduction in the effective precision of the original digital synthesis.

Each superheter may be switched out of circuit entirely if desired, under control of the intermediate tuning controller 1405, which receives input from the driver software on the host PCD, via the IMU and the command bus 1417. A bus management unit (BMU) 1413 is responsible for managing the intercommunication 1414 between the bus 1417 and the local modules. It provides the feed 1445 to the intermediate tuning controller 1405. This controller in turn sends messages to each of the superheter stages via command lines 1403/1404/1422.

1439 shows a more detailed view of the functional components of one of the superheter stages. The incoming IF signal 1429/1425/1441/1443 is passed into a mixer 1430, where it is combined with a frequency 1428 from a variable local oscillator (VLO) 1427. In a preferred embodiment, the VLO 1427 is implemented using digital synthesiser technology, to allow a wide frequency range together with excellent accuracy and rapid reconfiguration. The said frequency may be selected by the feed 1438/1422/1403/1404 from the intermediate tuning controller 1405, under the ultimate control of software drivers running in the PCD. However, other embodiments of the oscillator system are possible. In one such arrangement, the first and third stage converters 1424/1410 use synthesised VLOs, while the intermediate superheter 1442 uses a fixed IF and a fixed frequency oscillator, to reduce cost and complexity. In such an arrangement, the second, fixed stage would provide most of the substantive amplification to the signal, since there the amplifier performance can most easily be optimised to a known, fixed IF. In another alternative embodiment, single stage up-conversion is used, and in yet another, where

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the device is intended to broadcast at or below a maximum frequency  $f_{s,m}/2$ , where  $f_{s,m}$  is the highest sample rate which can be used on the DAC stage (110 in FIG. 1), the entire up-converter stage is omitted, and the system may be used in direct digital synthesis (DDS) mode. The use of frequency multipliers within the up-converter is also possible and has been discussed above.

The result of the mixer 1430 is then passed 1437 into an IF amplifier 1431, which will increase the strength of the signal. In a preferred embodiment of the system, the IF amplifier 1431 is tuneable under software control, ultimately via the feed 1436/1422/1403/1404 from the intermediate tuning controller 1405. The gain of the IF amplifier stage 1431 is set by a feed 1440/1409/1408/1407 from the intermediate gain controller subsystem 1411. As in the down-converter (105 in FIG. 1), taps 1420 are taken off the signal as it progresses through the stage 1401, and these feed both an intermediate gain monitor 1418 and an intermediate tuning monitor 1421. The gain monitor 1418 reports 1416 overall metrics of signal strength gain to the drivers on the PCD via the bus management unit (BMU) 1413, the control bus 1417 and the IMU (108 in FIG. 1). A switchable feedback path 1406 to the intermediate gain controller 1411 is also provided, in order that an automatic gain control for the output signal can be employed where necessary. In most modes of operation, this system will not be required, as the various filter and amplifier transfer functions and signal strengths will be known to the PCD-resident drivers, which can set equalisation levels appropriately through direct commands sent to the intermediate gain controller 1411. However, it does provide a useful 'fail safe' ability to shut off the transmission path rapidly, should a software or firmware error 'upstream' in the transmission chain cause too large a signal to be generated.

The intermediate tuning monitor 1421 provides a set of metrics indicating the degree to which the desired intermediate 'step-up' frequencies have been attained, as computed from the signals provided by the input taps 1420. In a preferred embodiment of the system, this will involve at least phase-locked loops used to generate a set of phase error signals. These metrics are reported back to the PCD drivers via channel 1415; however, a

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feedback path 1444 is also provided to allow an automatic frequency control (AFC) system to be utilised where desired. One use of this would be to shift the injection VLO frequencies in the superhets 1426/1442/1410 to ensure that a 'lock' was maintained on the appropriate up-conversion frequencies, thereby compensating for any tendency of the VLO units 1427 to drift.

The resultant signal 1434 is next subjected to the bandpass filter 1432, in order that undesirable modulation components can be removed. After this process, the conversion sub-stage 1439 is complete and the signal passes on 1433/1441/1443/1402 to the next sub-stage. In a preferred embodiment, the phase and frequency transfer functions of the bandpass filter 1432 are electronically controlled, by signals sent via a line 1435/1403/1404/1422 from the intermediate tuning controller

#### RF TRANSMISSION AMPLIFIER

Having thus been up-converted to the transmission frequency, the outbound signal 1402 is next passed into the RF transmission amplifier unit, corresponding to 113 in FIG. 1, and shown in greater detail in FIG. 15. The primary purpose of this system is to increase the power of the transmitted signal to a level suitable for transmission through an antenna (or antennae).

The main component here is an RF power amplifier 1518, which accepts the signal 1517 and increases it in power, producing the signal 1502. In a preferred embodiment of the RF adaptor of the current invention, the power gain of the amplifier is variable under the supervision of the primary gain controller 1521, fed through control line 1519. This gain is ultimately managed by the software driver modules within the PCD, which send instructions via the command bus 1513. The bus management unit (BMU) 1511 interfaces 1510 to this bus, and passes messages between it and components within the stage. In this way, commands arrive at the primary gain controller through line 1520

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In a preferred embodiment, the RF amplifier exhibits a degree of tuned response, which can be electronically managed by the rough tuning controller 1508, via interface line 1505. As with the primary gain controller, instructions are sent to this system from the driver modules in the host PCD, via command bus 1513 and line 1509.

The amplified signal 1502 is next subjected to a bandpass filter 1503, to suppress undesirable harmonics within the signal. In a preferred embodiment, this filter unit 1503 has electronically selectable frequency and phase transfer functions, under the management of the rough tuning controller 1508 (and ultimately, the PCD-resident drivers) through interface line 1506.

The overall power output of this stage is continually measured by the primary gain monitor 1514, through taps from the output 1507 and pre-amplification signal 1516. This information is fed back to the PCD drivers via the control bus 1513 on line 1512. However, a direct feedback path is also provided 1515 to the primary gain controller 1521, in order that an automatic power gain control of the output signal may be provided, if desired.

The power-amplified, filtered outbound signal 1504 is then passed (through line 617 in FIG. 6) to the antenna control unit (103 in FIG. 1) where it is matched to one or a number of antenna, subjected to final filtering, and transmitted. The operation of the antenna control unit has already been discussed.

#### FORM FACTORS

The RF adaptor of the current invention may be embodied in a number of different form factors. FIG. 16 shows an embodiment of the device which is fitted as an internal card 1602 to an IBM PC or compatible computer 1601. A small external antenna 1603 is provided, although the user could connect other external antenna systems if desired. In this mode, the system may be provided as an ISA, EISA, PCI or other internal card

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format that is now, or may come to be, known in the computer interfacing art. An advantage of the internal card format is the ability to access the main memory of the PCD at high speed, usually with DMA abilities, which in turn allows efficient operation of the system even with high bandwidth signals and even when the main processor (or processors) is temporarily busy.

For optimal RF shielding, the transceiver adaptor may be embodied in an external housing such as that shown in FIG. 17. 1702. Here, the connection to the PCD 1701 is made via a high speed parallel interface, such as a SCSI connection 1703. Connection to the printer port of IBM-compatible PCs is also possible, for medium-bandwidth signals; however, this will not suffice for wide bandwidth transmissions, such as DAB. The transceiver device may be provided with an integral antenna 1706, although the user may connect additional, higher performance antennae if required.

It is also envisaged that the entire system could be produced as one (or a very small number) of dedicated integrated circuits, which would then allow for an extremely compact embodiment. 1705 shows an example of such a system, where the 'bulge' contains the integrated transceiver electronics, and the antenna for the system is provided within the connection cable 1704 by which the device is connected to the PCD. In this particular example, a USB (universal serial bus) connection is shown, although for low bandwidth signals a conventional RS232/423 serial port could be used (as indeed, could some form of parallel interface).

It is further envisaged that the transceiver device could be embodied within a PCMCIA (now called simply PC) card format, as shown in FIG. 18. The card 1802 contains an integrated antenna, and fits into an industry-standard slot 1803 in the PCD. The PC card format is advantageous in that it provides for high data transfer rates, and is an interface implemented across a large number of PCDs, including laptop PCs (such as that shown 1801) and PDAs.



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## SOFTWARE DRIVER STRUCTURE

An architecture for the software driver modules within the PCD, according to a preferred embodiment of the RF transceiver system of the current invention, is shown in FIG. 19. Inbound data (passed to the software 1902 by the interface management unit (IMU), 108 in FIG. 1), is read 1906 from a first-in first-out (FIFO) structure 1905. This may be implemented either in the main memory of the PCD, or as a stream onto a file in a secondary or tertiary store connected to the PCD. In any case, the FIFO 1905 is protected by a mutual exclusion lock (mutex) to prevent the IMU attempting to write data into a given location while the driver modules are attempting to read it, thereby causing a undesirable race condition.

In a preferred embodiment of the software drivers 1901, a separate thread of execution is active for each subsection of the driver system. The first subsection 1904 has the task of acquiring the modulating digital signal from the inbound digitised sample stream 1906; this corresponds to the physical layer of the ISO/OSI model (described earlier). Routine 1905, parameterised by the particular plug-in scheme in use for inbound information 1916, acquires the primary digital bitstream, drawing as necessary upon a common library of routines, containing implementations of techniques well known to those in the digital signal processing art. These include an analysis and correlation engine 1908, which may be employed to compute a degree of match between a reference and target waveform, a set of finite impulse response (FIR) and infinite impulse response (IIR) digital filters 1909, to allow the shaping and selective frequency processing of samples, a fast Fourier transform and synthesis engine 1912, to allow PCM data, recorded as amplitude samples in the time domain, to be decomposed into the frequency domain, a set of wave tables 1911, which may be used as the reference for correlation by engine 1908, and a convolution engine 1913. Having been thus analysed, the bitstream may, if it is in a suitable format, be further processed by an audio decomposition software engine 1955, which fulfils the function of the AF demodulator 525 in FIG. 5. For certain data

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protocols, it may be necessary for the stream to be protocol decoded, before the audio information can be retrieved (in which case this module will be re-entered)

In the general case, however, the baseband bitstream is acquired in module 1904, and it is then injected into memory muxed FIFO 1907. From here, the data stream is picked up 1939 (in the preferred embodiment of the system) by a thread running the next module 1938, which has responsibility to process the low-level data protocols (this corresponds to the link and network layers from the ISO/OSI model). The thread runs the main low-level protocol decoder routine 1940, which can make use of a library of common functions, including a channel demultiplexer and decoder 1937, a time division multiplexing (TDM) / code division multiplexing (CDM) decoder 1936 (which may be parameterised by the plug-in modules 1916 detailing the particular scheme in use), and a set of routines 1935 to perform common functions. The latter includes the ability to perform various types of checksum, including cyclic redundancy checks (CRCs, which may be compiled down into a lookup table for efficiency in the case of simple polynomials), a generic convolutional decoder, capable of dealing with punctured codes, and based on the algorithm due to Viterbi, and an energy dispersal recovery system, which provides the ability to regenerate information which has been 'exclusive-or'-d with a pseudo-random bitstream prior to transmission. In an alternative embodiment of the system, this module will also deal with various conditional access mechanisms, such as cryptographic scrambling, which may have been applied to the bitstream prior to transmission.

Once the data has passed through the low-level protocols, it is injected into memory muxed FIFO 1941 as a set of objects, representing the basic low-level transport units. These objects are extracted 1944 by a thread running in the next module 1954, which is responsible for dealing with high level protocols, including those protocols which have been encapsulated or 'tunnelled' through the radio transport medium. The thread runs a main routine 1943, which is particularised by the selected plug-in from the set 1916, and which deals with the transport to the presentation layers of the ISO/OSI model. It can

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draw on a library of common routines including a generic protocol stack manager 1946, a TCP/IP and UDP/IP transport layer 1952, and a high-level CORBA object request broker (ORB) 1951. The result of this layer is a set of high-level objects (which may include events) which are placed into a final buffer 1942, from where they are picked up and distributed 1949 through the data communications application programming interfaces (APIs) to interested client software and into the system event stream. This process need not be polled; the API can allow incoming requests to trigger interrupts forcing 'callbacks' to occur to the client code.

Turning now to the return path, the client software issues objects and events 1949 which are to be conveyed to a remote site across the radio link. These are processed by the operating system (OS) communications APIs and are placed into a mutexed buffer 1942, from where they are retrieved 1950 by the thread responsible for the high-level protocol processing module 1953. This thread executes the generic high-level protocol routine 1948, which is parameterised by the particular 'plug-in' module 1916 currently in use. The encoder may make use of the software modules 1952 and 1951 already mentioned, and also a generic outbound high-level protocol stack 1945. The output of this module is a set of low-level objects representing messages to be conveyed across the wireless link, represented in a common format no matter what the higher level protocol. These objects are deposited in the memory mutexed FIFO 1931.

From this point, the objects are picked up 1933 by the thread executing the next module 1929, responsible for providing the low-level encoding. This thread executes the generic low-level protocol routine 1930, which is parameterised by the plug-in module currently in use 1916, and can make use of a generic library of routines, including those already mentioned 1936/1935, in addition to a control system for channel multiplexing and encoding 1932. The result of this level (symmetric with the inbound flow) is a set of bit blocks which are enclosed in routing objects with limited ordering linkages. These objects are placed into the memory mutexed FIFO 1928.

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Finally, these objects are processed by the thread in the physical layer encoder module 1915. This thread executes at least one of two routines, responsible for (optionally) translating the digital bitstream 1926 into an audio PCM representation 1925, and translating the final bitstream (be it audio or original input) into the encoded samples stream 1924. As with the input processing, these routines are parameterised by the particular (outbound, in this case) plug-in coding system in use 1916, and can draw on a library of useful routines – 1909, 1912, 1911 and 1913 have already been mentioned, and these are added to by a generic synthesis engine 1914, capable of constructing and then rendering into PCM (and other commands) the various transmission equations.

The resulting interleaved set of commands and data (possibly in I/Q format, depending on the transmission mode) is finally passed into the muxed FIFO 1927, which may be implemented either in main memory, or as a stream onto secondary or tertiary storage connected to the device (for pre-computed feeds). From this point, the RF adaptor (102 in FIG. 1) can read 1999 the information, being made aware of the newly produced content by interrupt if necessary.

The flexibility of the system lies in the fact that it may be customised to different transmission protocols (both for inbound and outbound use) by the addition of plug-in driver modules, which act through a common API. These modules are able to accept and generate control-bus (126 in FIG. 1) messages to the transceiver adaptor (102 in FIG. 1) and are also able to accept and receive data, controlling the operation of the generic modules discussed above.

There is potentially no limit to the number of different modulation/demodulation schemes that may be implemented by such a system, other than that imposed by the available processing resources and memory of a given user's system. However, at least the following plug-in modules are provided in a preferred embodiment of the system: a transcoder for the Digital Audio Broadcasting (DAB) protocol 1917, a transcoder for the Digital Video Broadcasting (DVB) protocols 1918, a transcoder for the GSM

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telecommunications system 1919 (including at least access to the short message service), a transcoder for the at least some of the modulation schemes used within the range of PCS telecommunications systems 1920, a transcoder for conventional FM audio broadcasts 1921, a transcoder for standard PC-based audio modems (V34 et al) 1922, a transcoder for various two- and one- way paging protocols, including at least ReFLEX, FLEX and InFLEXion 1923, a transcoder for conventional data networks including RAM and Ardis 1980, a transcoder for amateur band (HAM) packet radio 1981, a transcoder for cellular digital packet data (CDPD) 1982, a transcoder for conventional cellular audio, including digital variants and AMPEN) 1983, a transcoder for the various forms of single and double sideband AM audio 1984, a transcoder for at least the civilian forms of GPS (Global Positioning System) 1985, and a transcoder for the various formats of television broadcasting 1986, which will include the ability to acquire digital information stored within the vertical blanking interval (VBI) Of course, these only give an example of the protocols that can be acquired and synthesised – a chief advantage of the invention being the ease with which, using familiar coding tools and paradigms on IBM-compatible PCs, or other PCDs, new or as yet unimplemented protocols and standards can be incorporated, without replacement of the hardware. Of course, the ability (described above) of the RF adaptor of the current invention to receive and transmit signals of various bandwidths across a wide range of the spectrum is central to such flexibility.

As an example of the process of software demodulation and modulation, consider FIGS 21 and 22, which are flow charts describing the physical layer demodulation and modulation (respectively) of a simple binary frequency shift keying (BFSK) scheme.

Turning first to FIG. 21, the physical layer thread activates 2101 when an interrupt indicates that data is or should be waiting in the inbound FIFO 2110 (corresponding to 1905 in FIG. 19). The routine checks to see if data is indeed present 2102, and if not, then tests if this is the first time around the loop since the interrupt occurred 2111. If it is, then an error is generated 2112 and the thread goes back into a waiting state

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2114/2119/2108. If not, then the thread simply goes into the waiting state, assuming that all available data has been consumed 2114/2119/2108

In the normal case, however, data is available and the thread reads a block of  $n$  PCM samples 2103 from the FIFO 2110. These  $n$  samples are assumed to have been captured in '1-only' mode, to cover the period of one encoded bit in the signal, and to be synchronised to bit boundaries by such clock recovery methods as are well known in the art (this mechanism is not shown for clarity). The number of zero crossings in this pulse train is then counted 2104, using a threshold limiting method to avoid noise effects with small-amplitude samples (FIG. 21 shows, of course, a very elementary BFSK demodulator for clarity; other methods of demodulation for BFSK are known in the art.) This count is then compared with an upper and lower limit for a 'mark' or binary 1 2105. If this test is failed, a check is made to see if the count falls with the 'space' or binary 0 limits 2106. If this is also failed, an error is displayed 2107 and the thread goes back into a sleep mode 2108. In all such error cases, the system FIFOs may be flushed if desired.

If, however, a mark is detected, a binary '1' is written 2113 into the FIFO 2116 (corresponding to the buffer 1907 in FIG. 19) for consideration by the higher levels of demodulation, which are not shown here for clarity. Similarly, if a space is detected, a binary '0' is written 2117 into the FIFO 2116. In both cases, the loop is then restarted to process the next  $n$  samples 2115/2109, 2118/2109

FIG. 22 shows the process for transmission. The physical layer thread activates 2201 when an interrupt indicates that data is or should be waiting in the inbound (relative to the modulator) FIFO 2208 (corresponding to 1928 in FIG. 19). The routine checks to see if data is indeed present 2202, and if not, then tests if this is the first time around the loop since the interrupt occurred 2209. If it is, then an error is generated 2210 and the thread goes back into a waiting state 2211. If not, then the thread simply goes into the waiting state, assuming that all available data has been consumed 2211.

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In the normal case, however, data is available and the thread reads 2203 a single bit  $x$  from the FIFO 2208, into which data has been placed by higher levels of modulation and encoding within the driver structure. This bit  $x$  is checked to see if it is a 'mark' (1) 2204, and if it is, then the thread copies a vector of  $n$  samples 2212 from a wave table 2213 (which holds pre-computed PCM samples of a carrier modulated to the 'mark' frequency offset, at a predetermined sample rate, for one bit period) into the output (relative to the modulator) FIFO 2215 (corresponding to 1927 in FIG 19). If  $x$  is not a mark (1), then it must be a 'space' (0), and in this case the thread copies a vector of  $n$  samples 2205 from a wave table 2216 (which holds pre-computed PCM samples of a carrier modulated to the 'space' frequency offset, at a predetermined sample rate, for one bit period) into the output FIFO 2215. In both cases, the loop is then restarted 2214/2207, 2206/2207 to process the next bit. (FIG. 22 shows, of course, a very elementary BFSK modulator for clarity; other methods of modulation for BFSK are known in the art.)

These and other aforementioned demodulation and modulation schemes are only an example of the protocols that can be acquired and synthesised - a chief advantage of the invention being the ease with which, using familiar coding tools and paradigms on IBM-compatible PCs, or other PCDs, new or as yet unimplemented protocols and standards can be incorporated, without replacement of the hardware.

Although illustrative embodiments of the present invention have been described in detail with reference to the accompanying drawings, it is to be understood that the invention is not limited to those precise embodiments and that changes and modifications may be made by those skilled in the art without departing from the scope and spirit of the invention.

Moreover, any application or patent cited herein should be considered to be incorporated by reference as to any subject matter deemed essential to the present disclosure.

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## CLAIMS:

1. Communications apparatus comprising means for receiving a modulated radio frequency signal, at least one means for down-converting a received modulated radio-frequency signal, means for digitising a down-converted signal and means for exporting at least a part of the digitised modulated signal to a personal computing device.
2. Communications apparatus as claimed in claim 1, wherein the means for exporting at least a part of the digitised modulated signal comprises means for connection to at least one bus within the personal computing device.
3. Communications apparatus as claimed in claim 2, wherein the means for exporting at least a part of the digitised modulated signal further comprises means for performing direct memory access with memory means located within the personal computing device.
4. Communications apparatus as claimed in any one of the claims 1 to 3, wherein the means for exporting at least a part of the digitised modulated signal comprises means for dividing the signal with respect to time.
5. Communications apparatus as claimed in any one of the claims 1 to 4, wherein the means for down-converting a received modulated radio-frequency signal comprises means for generating an in-phase down converted signal and a quadrature phase down-converted signal, and the means for digitising a down-converted signal comprises means for digitising the in-phase down converted signal and the quadrature phase down-converted signal.
6. Communications apparatus as claimed in any one of the claims 1 to 4, wherein the means for down-converting a received modulated radio-frequency signal comprises means for generating a single down-converted signal.



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7. Communications apparatus as claimed in claim 6, wherein the means for down-converting a received modulated radio-frequency signal are arranged to convert the frequency of the received modulated radio signal by less than the centre frequency of the signal minus half of the bandwidth of the signal.
8. Communications apparatus as claimed in any one of the claims 1 to 7, further comprising means for altering the bandwidth of the received modulated radio-frequency signal.
9. Communications apparatus as claimed in any one of the claims 1 to 8, further comprising means for altering the centre frequency of the received modulated radio-frequency signal.
10. Communications apparatus as claimed in claim 9, wherein the means for altering the centre frequency of the received modulated radio-frequency signal comprise means for frequency-hopping.
11. Communications apparatus as claimed in any one of the claims 1 to 10, further comprising means for altering the amplitude of the down converted received modulated radio-frequency signal.
12. Communications apparatus as claimed in any one of the claims 8 to 11, wherein the means for altering parameters further comprise means for responding to at least one command from a personal computing device.
13. Communications apparatus as claimed in claim 12, wherein the means for altering parameters further comprises means for comparing that at least one command with at least one predetermined permitted parameter and means responsive only to commands possessing permitted parameters.

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14. Communications apparatus as claimed in claim 13, further comprising means for altering the at least one permitted parameter in response to an encoded command from a personal computing device.
15. Communications apparatus as claimed in any one of the claims 1 to 14, wherein the apparatus is adapted for installation within a personal computing device.
16. Communications apparatus as claimed in any one of the claims 1 to 14, further comprising a personal computing device, the personal computing device comprising processing means and memory means which processing means are responsive to instructions stored in the memory means to demodulate the exported signal.
17. Communications apparatus as claimed in any one of the claims 1 to 16, further comprising means for importing a digital modulated signal from a personal computing device, means for converting the digital modulated signal to an analogue signal, means for up-converting the analogue signal to a radio frequency signal and means for transmitting the radio frequency signal.
18. Communications apparatus comprising means for importing a digital modulated signal from a personal computing device, means for converting the digital modulated signal to an analogue signal, means for up-converting the analogue signal to a radio frequency signal and means for transmitting the radio frequency signal.
19. Communications apparatus as claimed in claim 18, wherein the means for importing at least a part of the digital modulated signal comprises means for connection to at least one bus within the personal computing device.
20. Communications apparatus as claimed in claim 19, wherein the means for importing at least a part of the digital modulated signal further comprises means for performing direct memory access with memory means located within the personal computing device.

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21. Communications apparatus as claimed in any one of the claims 18 to 21, wherein the means for importing at least a part of the digital modulated signal comprises means for assembling the signal with respect to time.
22. Communications apparatus as claimed in any one of the claims 18 to 21, wherein the means for converting the digital modulated signal to an analogue signal comprises means for converting an in-phase signal and a quadrature phase signal.
23. Communications apparatus as claimed in claim 22, wherein the means for up-converting the analogue signal to a radio frequency signal comprises means responsive to an in-phase signal and a quadrature phase signal.
24. Communications apparatus as claimed in any one of the claims 18 to 21, wherein the means for up-converting the analogue signal to a radio frequency signal comprises means responsive to a single analogue signal.
25. Communications apparatus as claimed in claim 24, wherein the means for up-converting the analogue signal to a radio frequency signal are arranged to alter the frequency of the analogue signal by less than a required transmission centre frequency of the signal minus half of the bandwidth of the signal
26. Communications apparatus as claimed in any one of the claims 18 to 25, further comprising means for controlling the bandwidth of the transmitted signal.
27. Communications apparatus as claimed in any one of the claims 18 to 26, further comprising means for altering the centre frequency of the transmitted signal.
28. Communications apparatus as claimed in claim 27, wherein the means for altering the centre frequency of the transmitted signal comprises means for frequency-hopping.

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29. Communications apparatus as claimed in any one of the claims 18 to 28, further comprising means for altering the amplitude of the transmitted signal

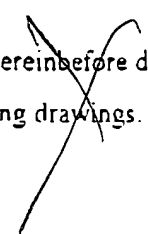
30. Communications apparatus as claimed in any one of the claims 26 to 29, wherein the means for altering parameters further comprise means for receiving at least one command from a personal computing device, means for comparing that at least one command with at least one predetermined permitted parameter and means responsive only to commands possessing permitted parameters.

31. Communications apparatus as claimed in claim 30, further comprising means for altering the at least one permitted parameter in response to an encoded command from a personal computing device.

32. Communications apparatus as claimed in any one of the claims 18 to 31, wherein the apparatus is adapted for installation within a personal computing device.

33. Communications apparatus as claimed in any one of the claims 18 to 31, further comprising a personal computing device, the personal computing device comprising processing means and memory means which processing means are responsive to instructions stored in the memory means to demodulate the exported signal.

34. Communications apparatus substantially as hereinbefore described with reference to figures 1 and 6 to 22 of the accompanying drawings.



## CLAIMS:

1. Communications apparatus comprising means for receiving a modulated radio frequency signal, at least one means for down-converting a received modulated radio-frequency signal, means for digitising a down-converted signal and means for exporting at least a part of the digitised modulated signal to a personal computing device.
2. Communications apparatus as claimed in claim 1, wherein the means for exporting at least a part of the digitised modulated signal comprises means for connection to at least one bus within the personal computing device.
3. Communications apparatus as claimed in claim 2, wherein the means for exporting at least a part of the digitised modulated signal further comprises means for performing direct memory access with memory means located within the personal computing device.
4. Communications apparatus as claimed in claim 1, wherein the means for exporting at least a part of the digitised modulated signal comprises means for dividing the signal with respect to time.
5. Communications apparatus as claimed in claim 1, wherein the means for down-converting a received modulated radio-frequency signal comprises means for generating an in-phase down converted signal and a quadrature phase down-converted signal, and the means for digitising a down-converted signal comprises means for digitising the in-phase down converted signal and the quadrature phase down-converted signal.
6. Communications apparatus as claimed in claim 1, wherein the means for down-converting a received modulated radio-frequency signal comprises means for generating a single down-converted signal.

7. Communications apparatus as claimed in claim 6, wherein the means for down-converting a received modulated radio-frequency signal are arranged to convert the frequency of the received modulated radio signal by less than the centre frequency of the signal minus half of the bandwidth of the signal.
8. Communications apparatus as claimed in claim 1, further comprising means for altering the bandwidth of the received modulated radio-frequency signal.
9. Communications apparatus as claimed in claim 1, further comprising means for altering the centre frequency of the received modulated radio-frequency signal.
10. Communications apparatus as claimed in claim 9, wherein the means for altering the centre frequency of the received modulated radio-frequency signal comprise means for frequency-hopping.
11. Communications apparatus as claimed in claim 1, further comprising means for altering the amplitude of the down converted received modulated radio frequency signal.
12. Communications apparatus as claimed in claim 8, wherein the means for altering parameters further comprise means for responding to at least one command from a personal computing device.
13. Communications apparatus as claimed in claim 12, wherein the means for altering parameters further comprises means for comparing that at least one command with at least one predetermined permitted parameter and means responsive only to commands possessing permitted parameters.
14. Communications apparatus as claimed in claim 13, further comprising means for altering the at least one permitted parameter in response to an encoded command from a personal computing device.

15. Communications apparatus as claimed in claim 1, wherein the apparatus is adapted for installation within a personal computing device.
16. Communications apparatus as claimed in claim 1, further comprising a personal computing device, the personal computing device comprising processing means and memory means which processing means are responsive to instructions stored in the memory means to demodulate the exported signal.
17. Communications apparatus as claimed in claim 1, further comprising means for importing a digital modulated signal from a personal computing device, means for converting the digital modulated signal to an analogue signal, means for up-converting the analogue signal to a radio frequency signal and means for transmitting the radio frequency signal.
18. Communications apparatus comprising means for importing a digital modulated signal from a personal computing device, means for converting the digital modulated signal to an analogue signal, means for up converting the analogue signal to a radio frequency signal and means for transmitting the radio frequency signal.
19. Communications apparatus as claimed in claim 18, wherein the means for importing at least a part of the digital modulated signal comprises means for connection to at least one bus within the personal computing device.
20. Communications apparatus as claimed in claim 19, wherein the means for importing at least a part of the digital modulated signal further comprises means for performing direct memory access with memory means located within the personal computing device.

21. Communications apparatus as claimed in claim 18, wherein the means for importing at least a part of the digital modulated signal comprises means for assembling the signal with respect to time.
22. Communications apparatus as claimed in claim 18, wherein the means for converting the digital modulated signal to an analogue signal comprises means for converting an in-phase signal and a quadrature phase signal.
23. Communications apparatus as claimed in claim 22, wherein the means for up-converting the analogue signal to a radio frequency signal comprises means responsive to an in-phase signal and a quadrature phase signal.
24. Communications apparatus as claimed in claim 18, wherein the means for up-converting the analogue signal to a radio frequency signal comprises means responsive to a single analogue signal.
25. Communications apparatus as claimed in claim 24, wherein the means for up-converting the analogue signal to a radio frequency signal are arranged to alter the frequency of the analogue signal by less than a required transmission centre frequency of the signal minus half of the bandwidth of the signal.
26. Communications apparatus as claimed in claim 18, further comprising means for controlling the bandwidth of the transmitted signal.
27. Communications apparatus as claimed in claim 18, further comprising means for altering the centre frequency of the transmitted signal.
28. Communications apparatus as claimed in claim 27, wherein the means for altering the centre frequency of the transmitted signal comprises means for frequency-hopping.



29. Communications apparatus as claimed in claim 18, further comprising means for altering the amplitude of the transmitted signal.

30. Communications apparatus as claimed in claim 26, wherein the means for altering parameters further comprise means for receiving at least one command from a personal computing device, means for comparing that at least one command with at least one predetermined permitted parameter and means responsive only to commands possessing permitted parameters.

31. Communications apparatus as claimed in claim 30, further comprising means for altering the at least one permitted parameter in response to an encoded command from a personal computing device.

32. Communications apparatus as claimed in claim 18, wherein the apparatus is adapted for installation within a personal computing device.

33. Communications apparatus as claimed in claim 18, further comprising a personal computing device, the personal computing device comprising processing means and memory means which processing means are responsive to instructions stored in the memory means to demodulate the exported signal.

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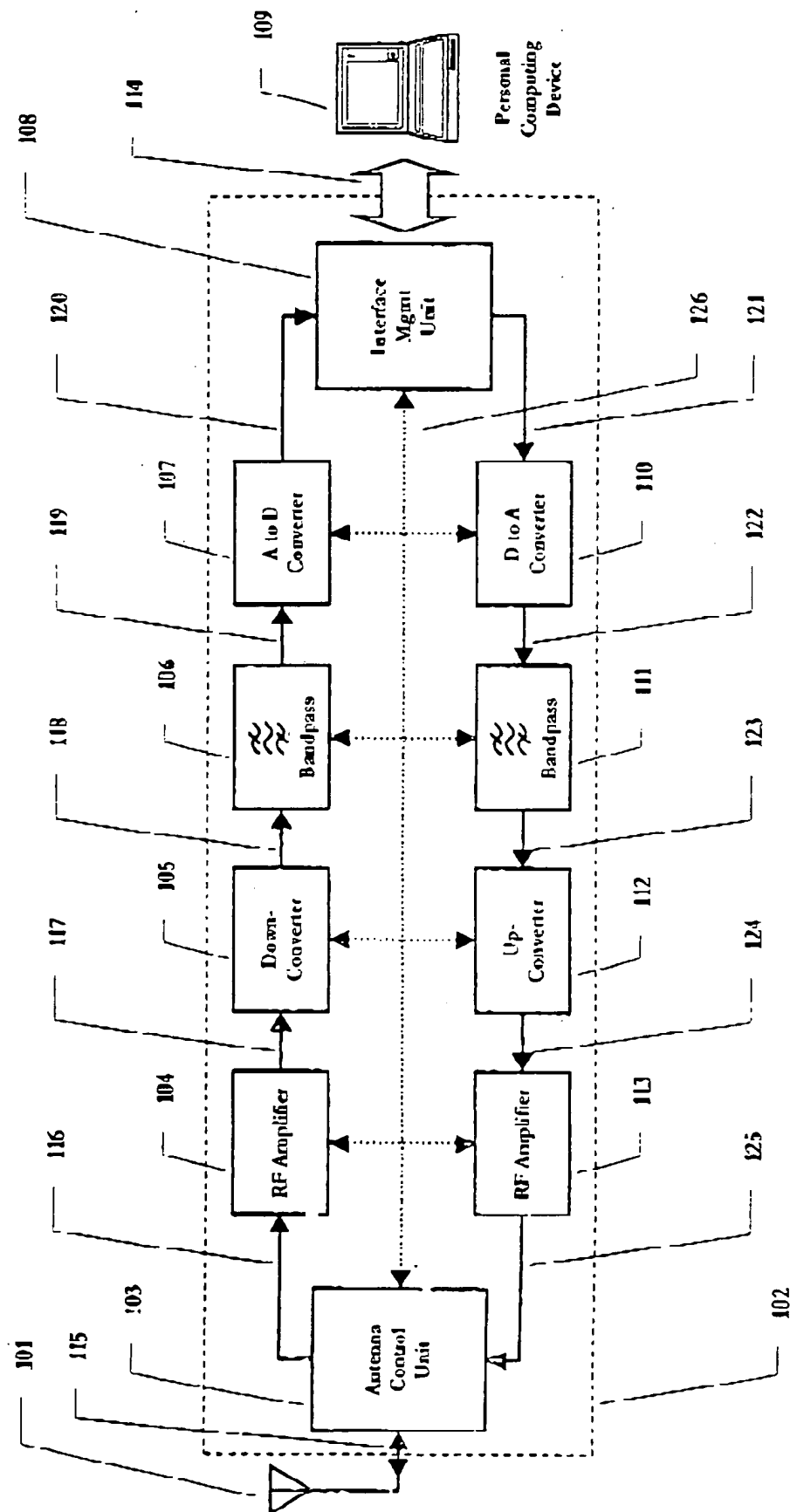


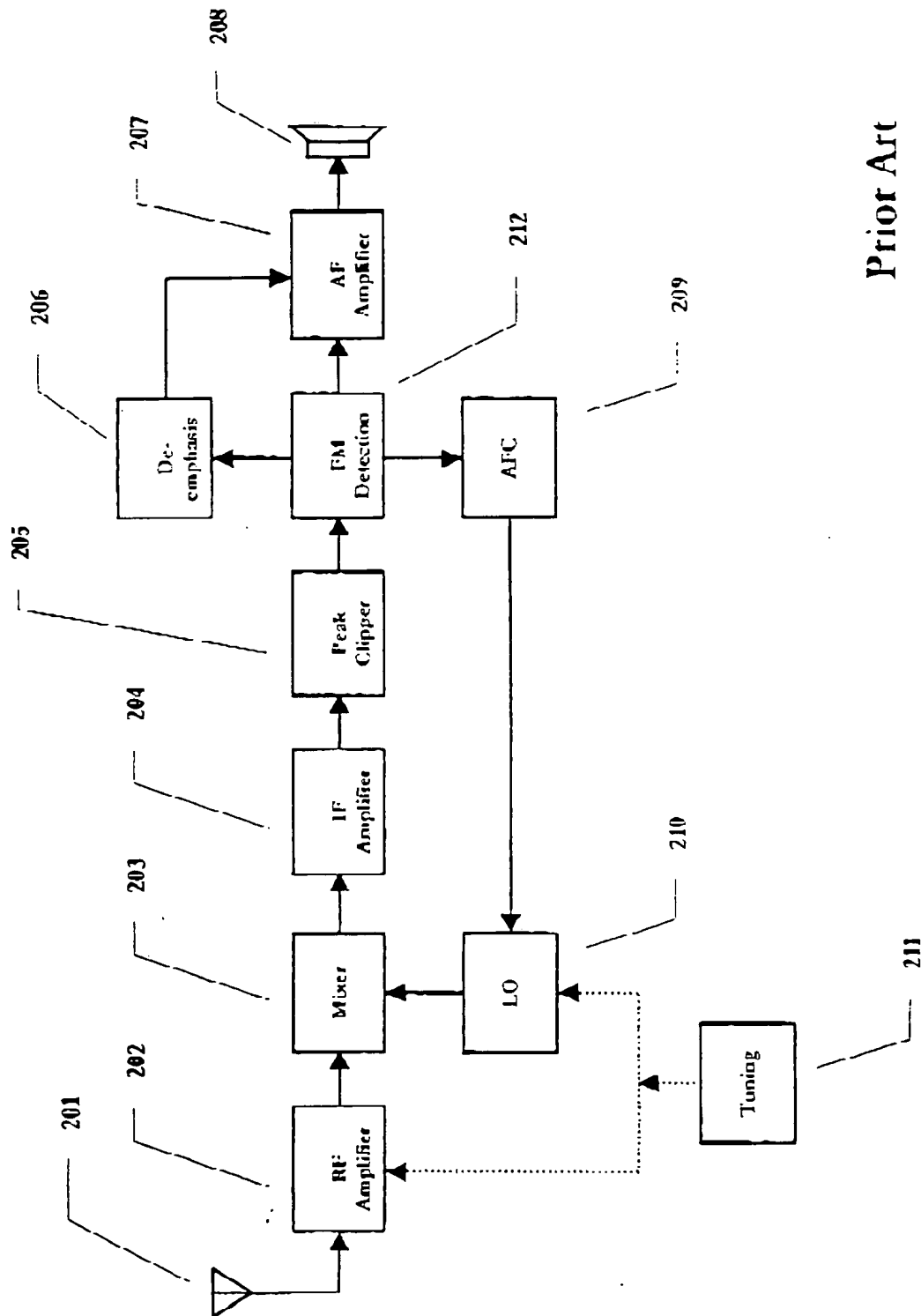
Figure 1

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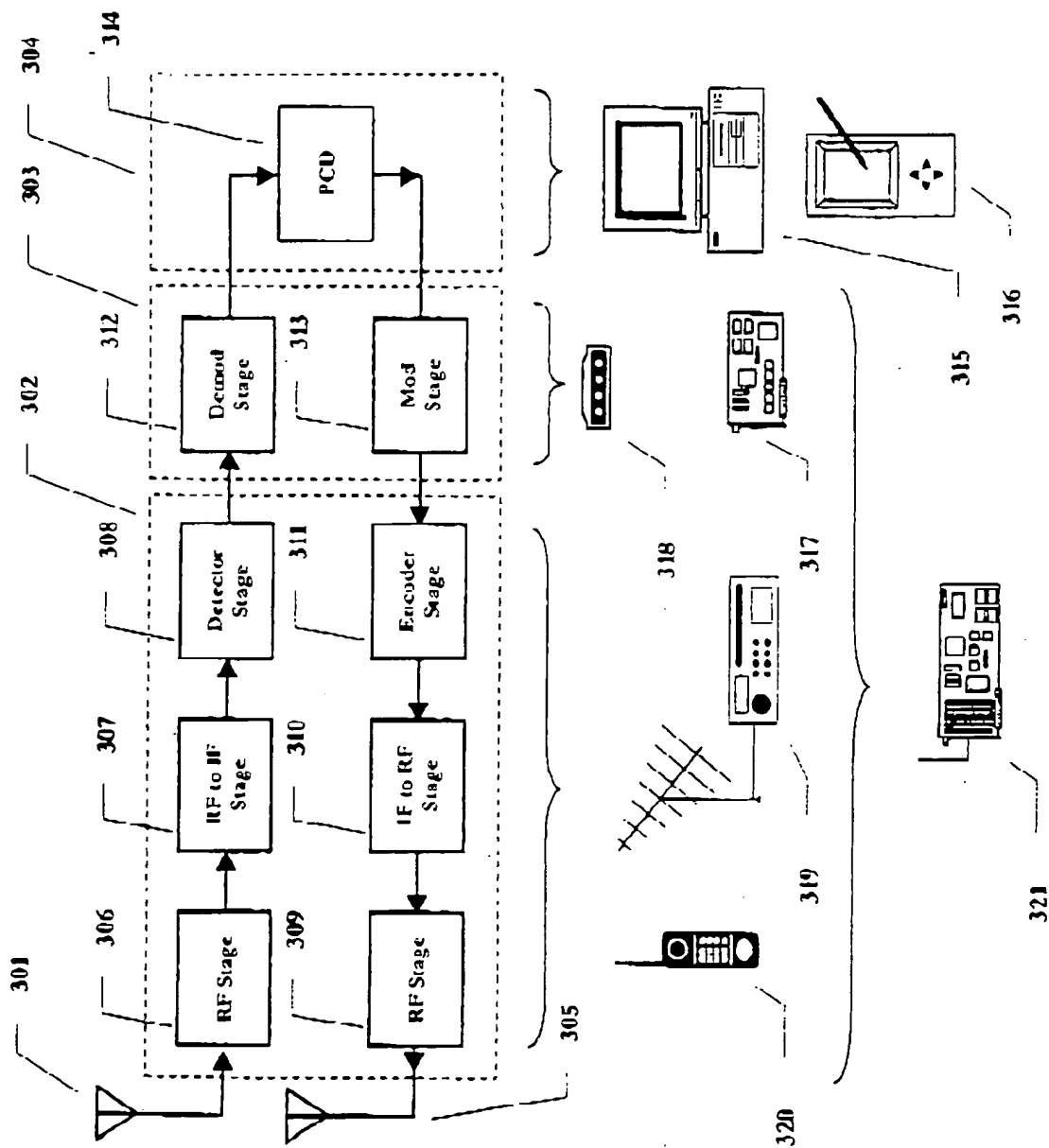
Prior Art  
Figure 2

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Prior Art  
Figure 3



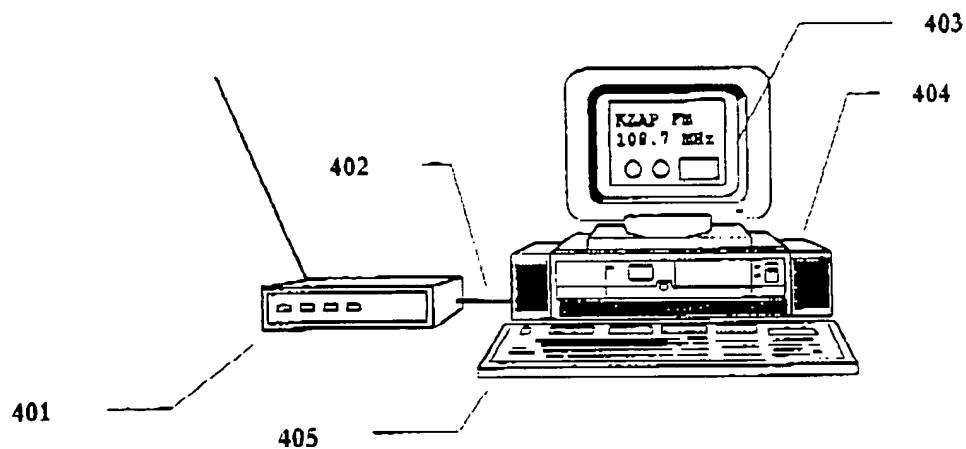
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Prior Art

Figure 4

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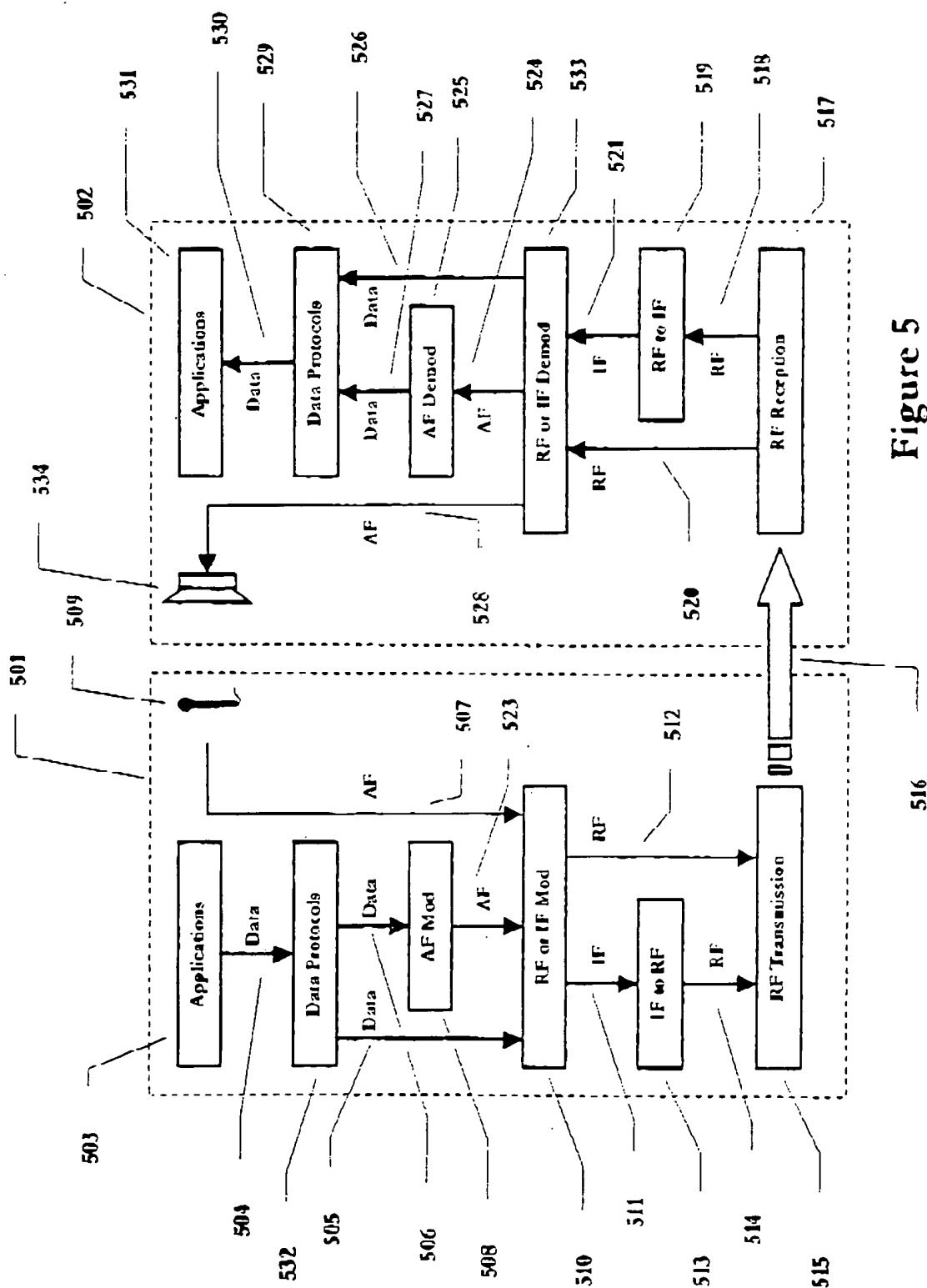


Figure 5

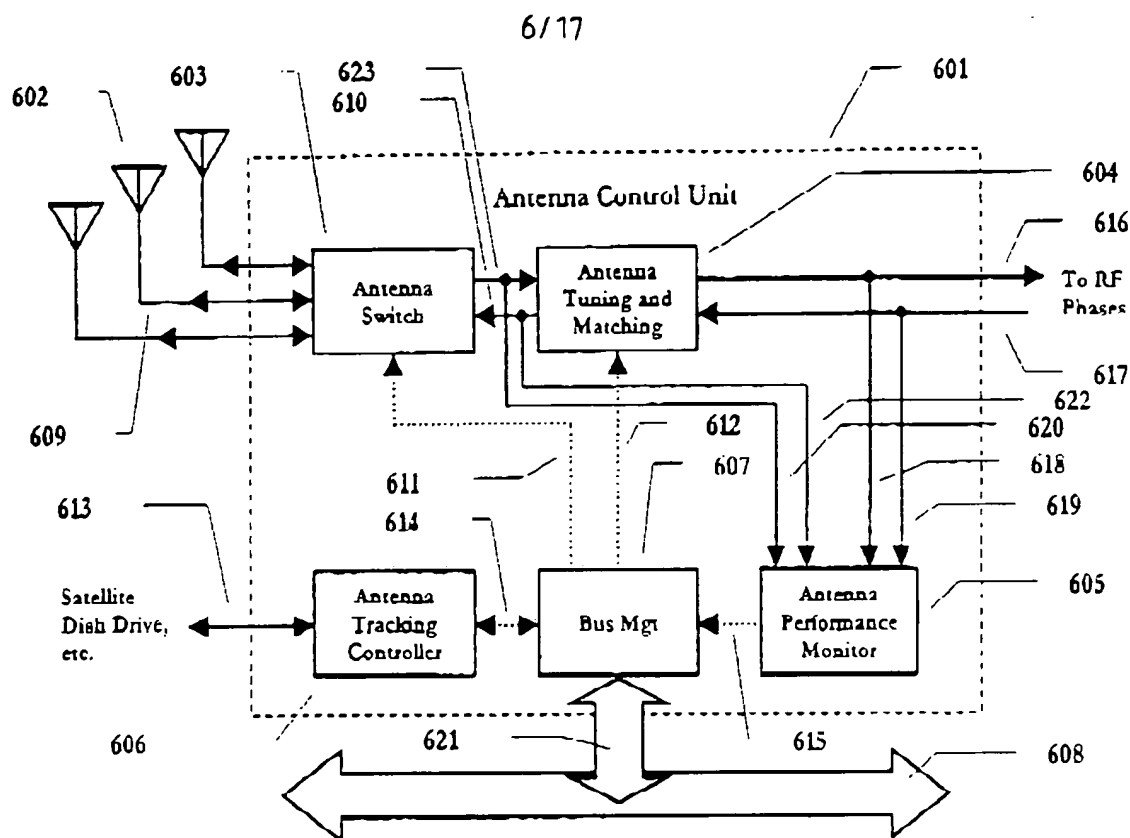


Figure 6

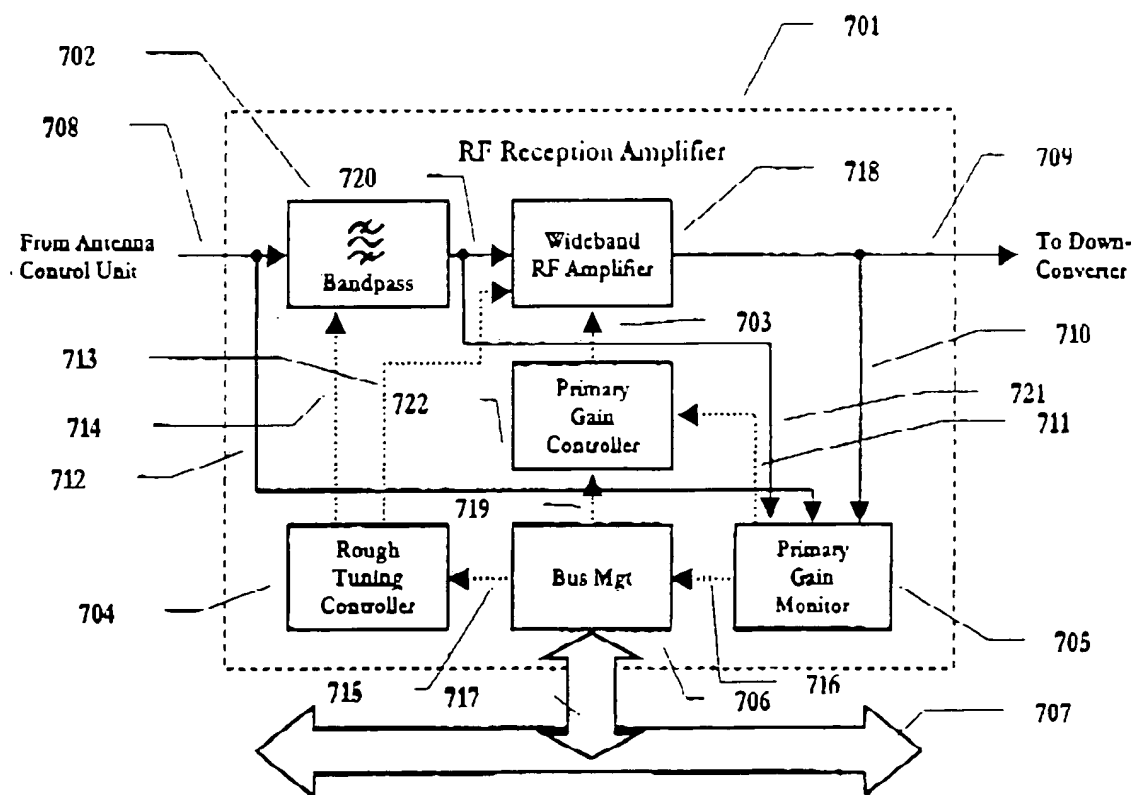


Figure 7

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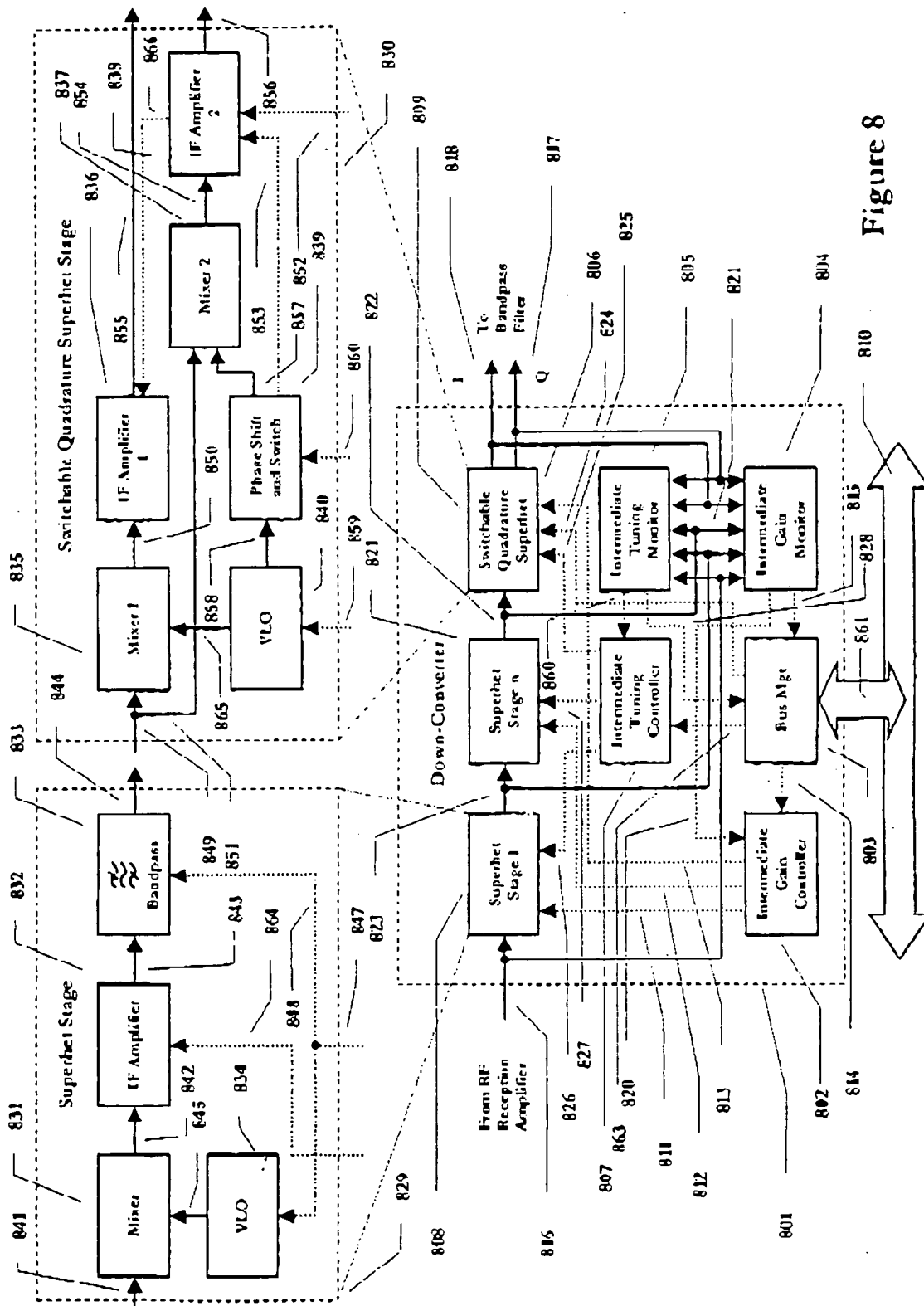


Figure 8



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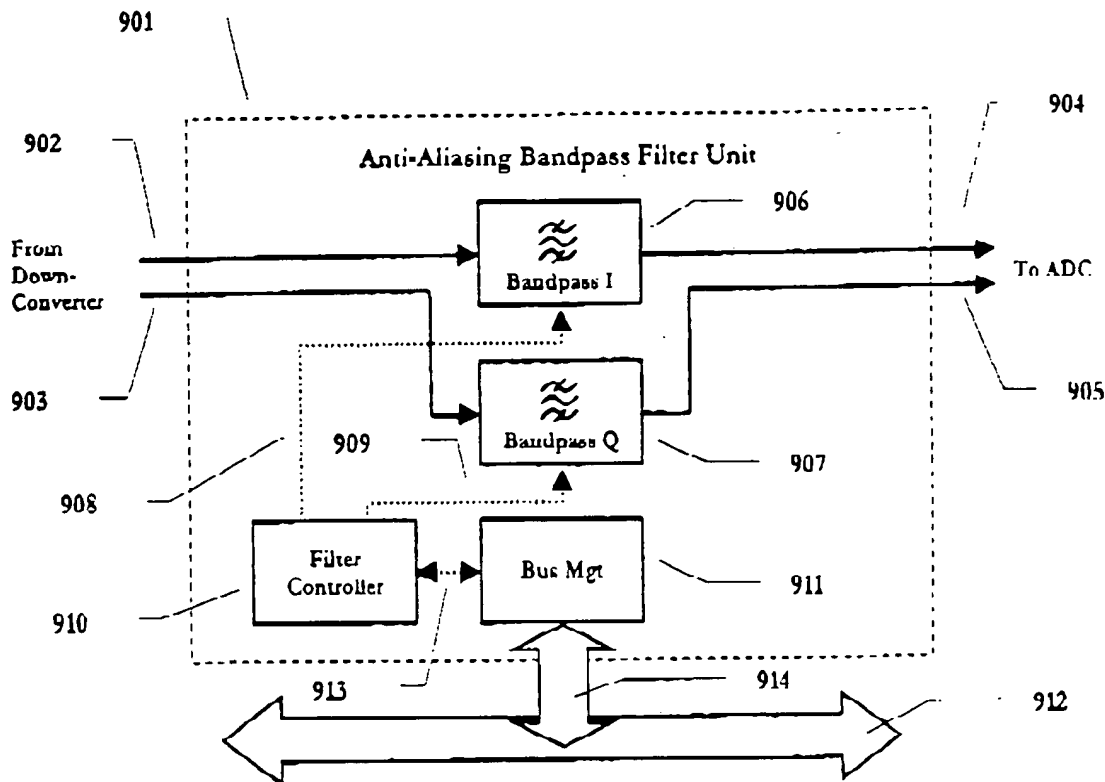


Figure 9

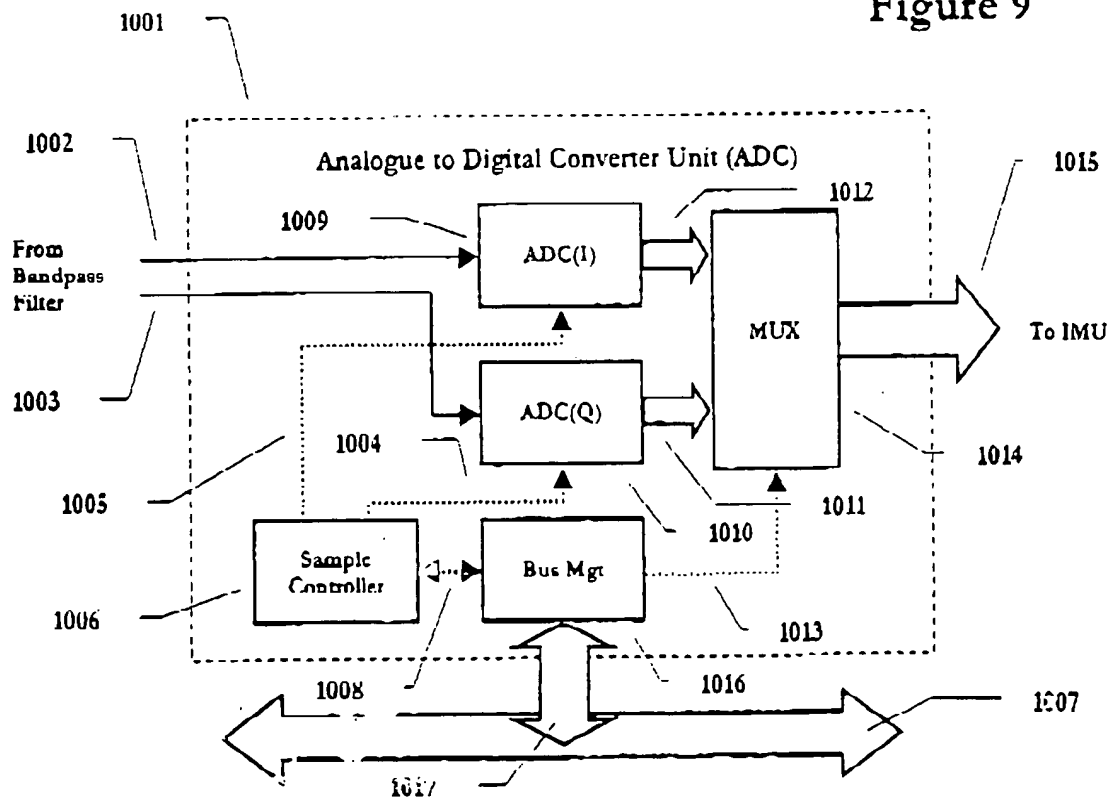


Figure 10

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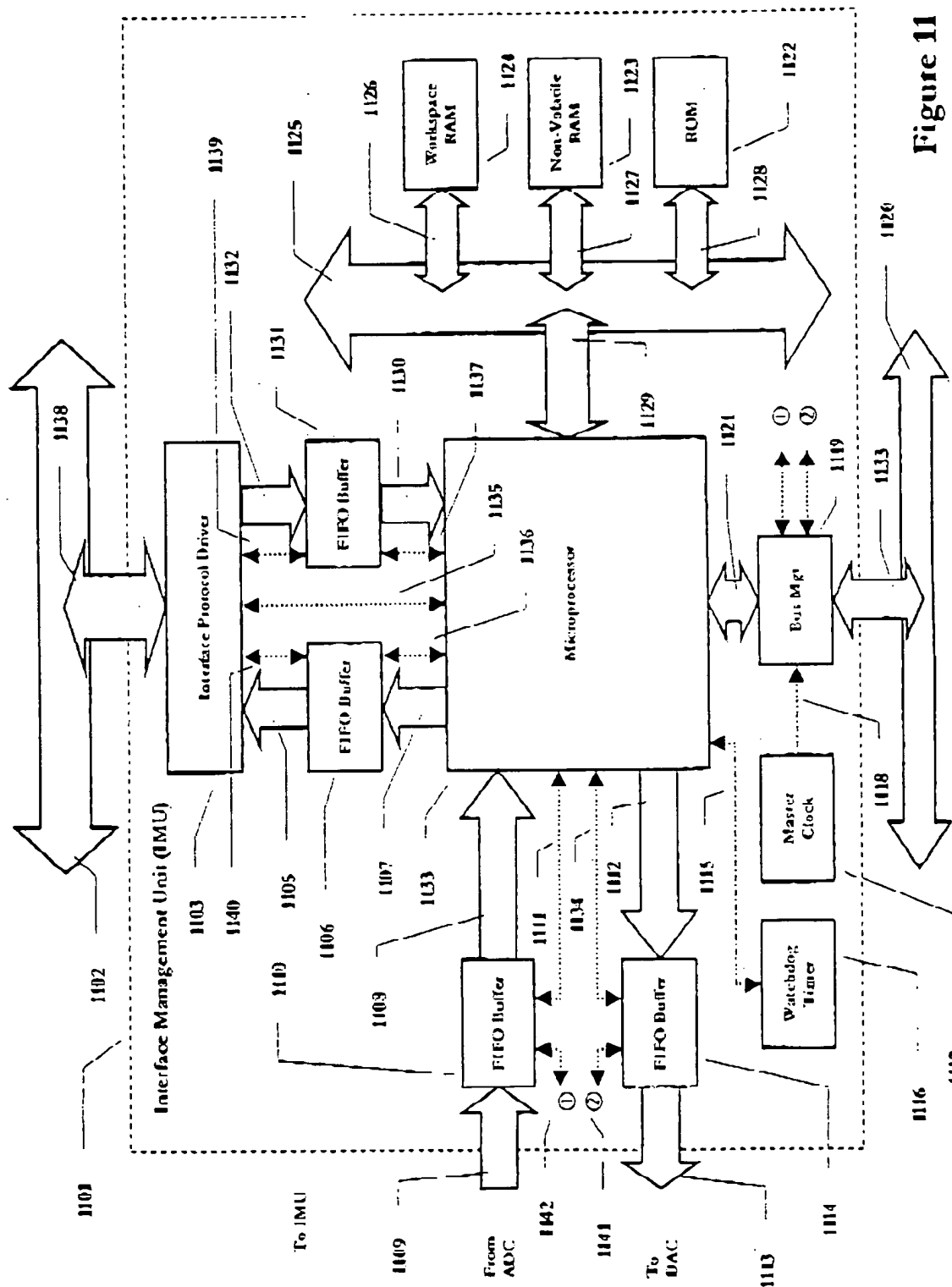


Figure 11

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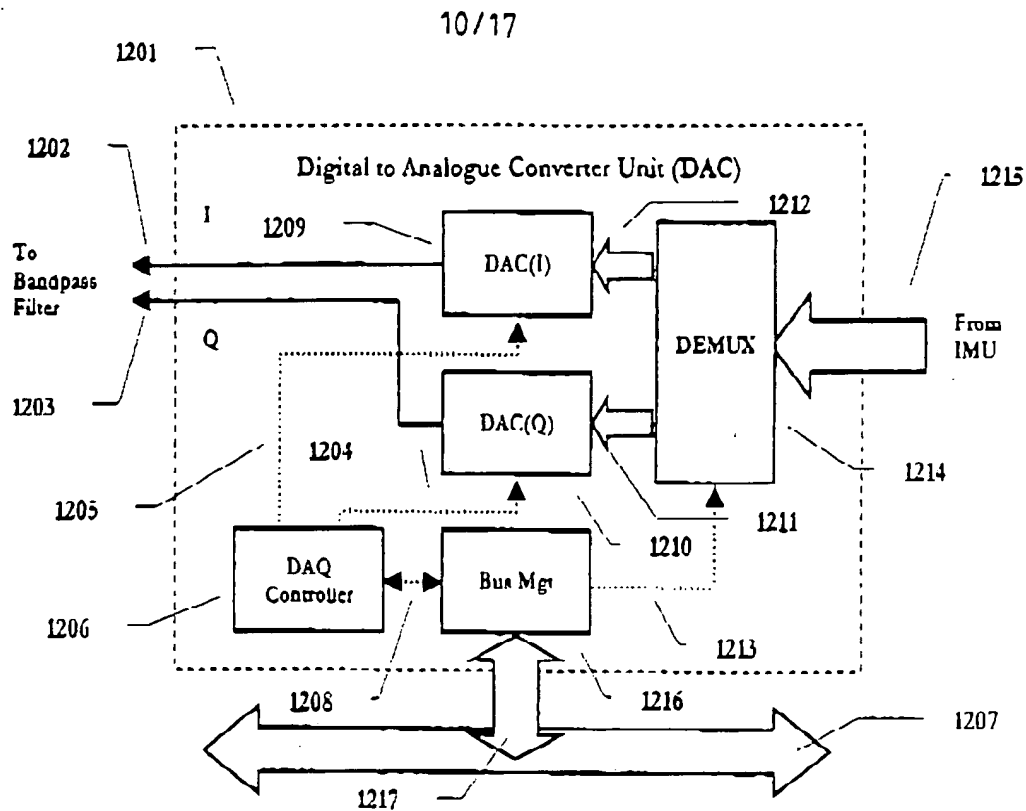


Figure 12

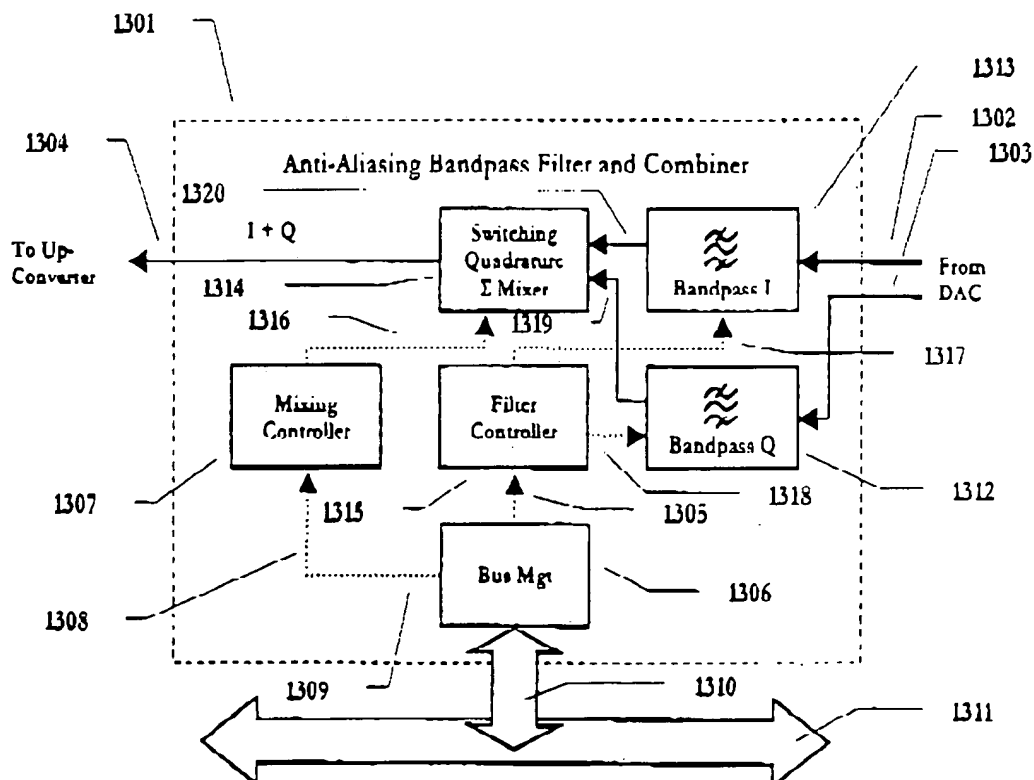


Figure 13



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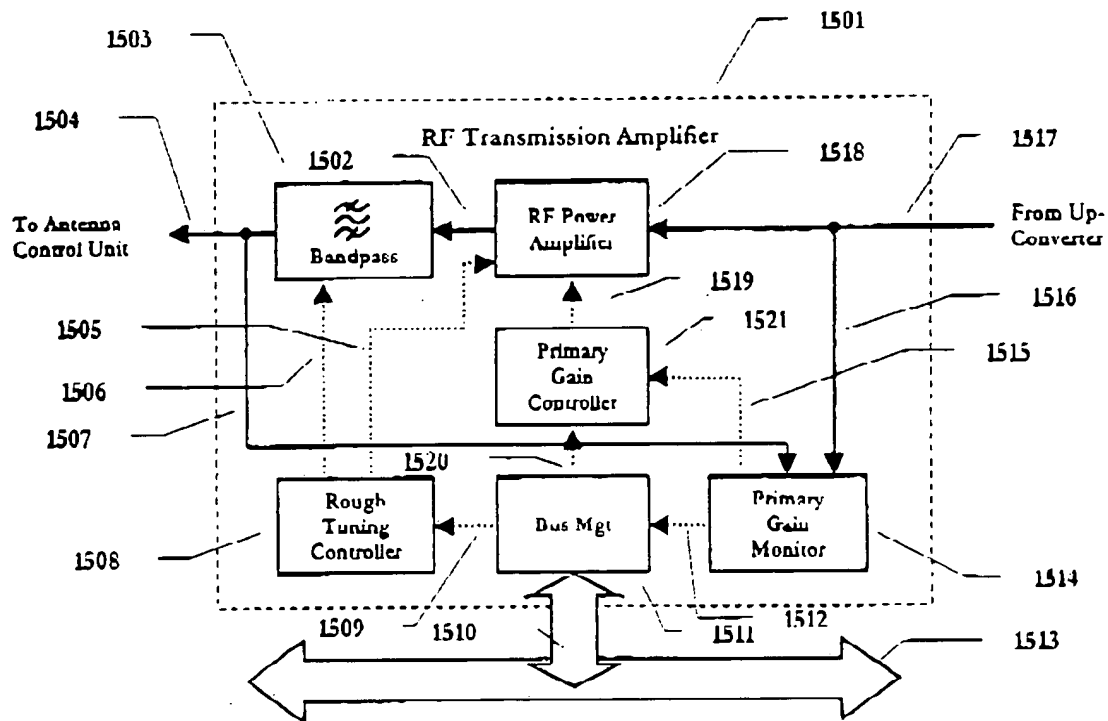


Figure 15

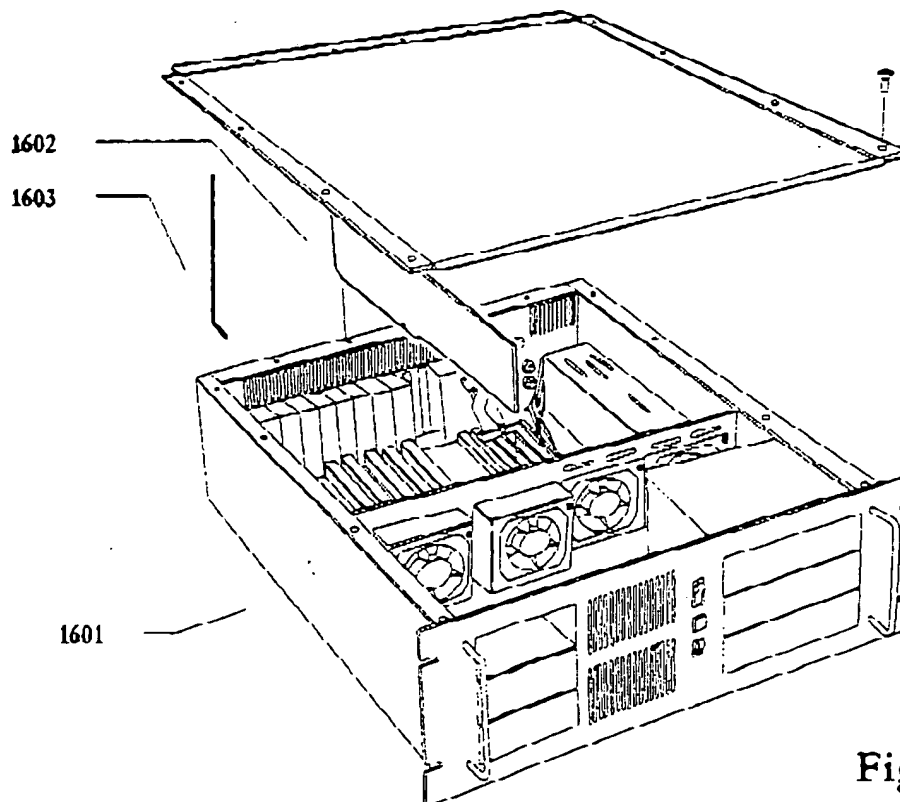


Figure 16

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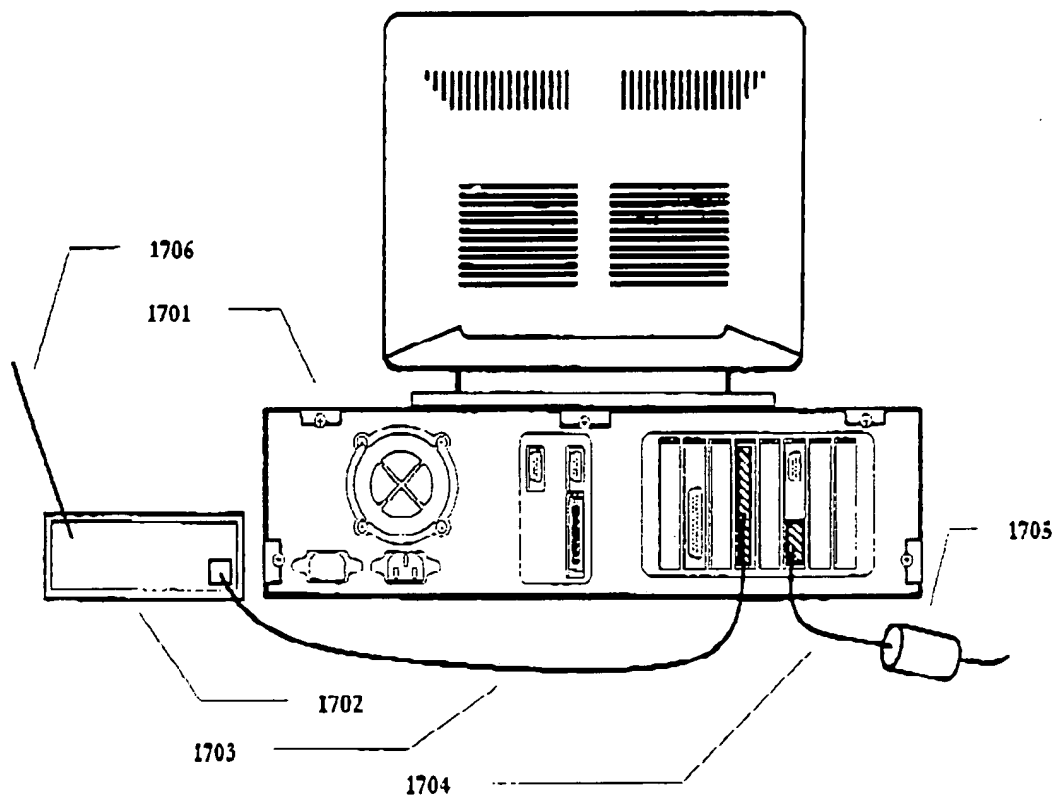


Figure 17

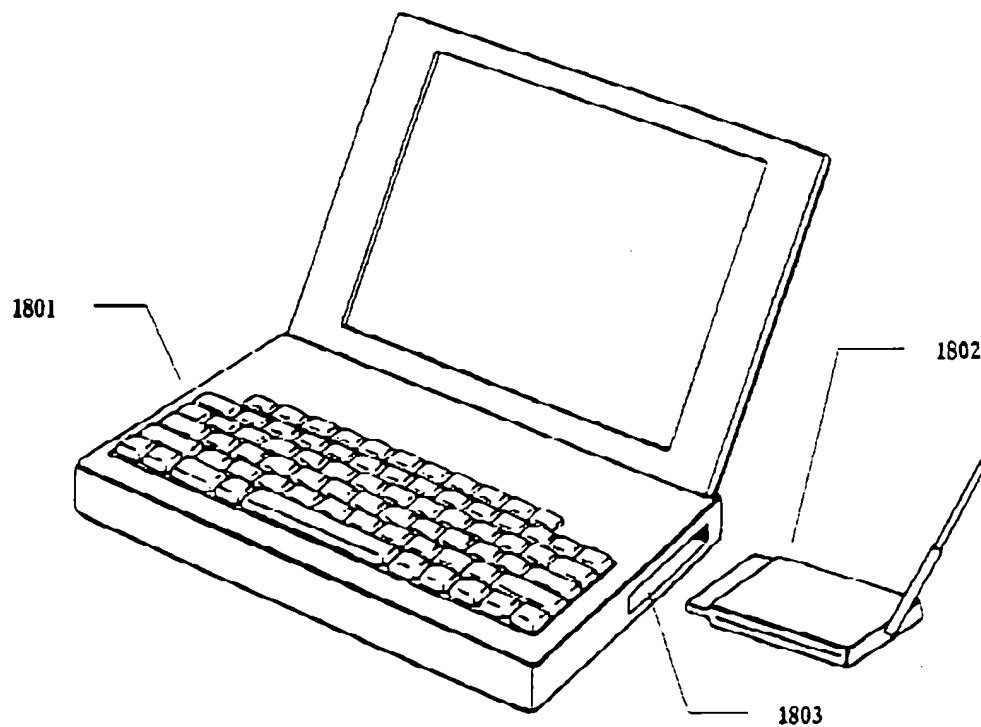


Figure 18

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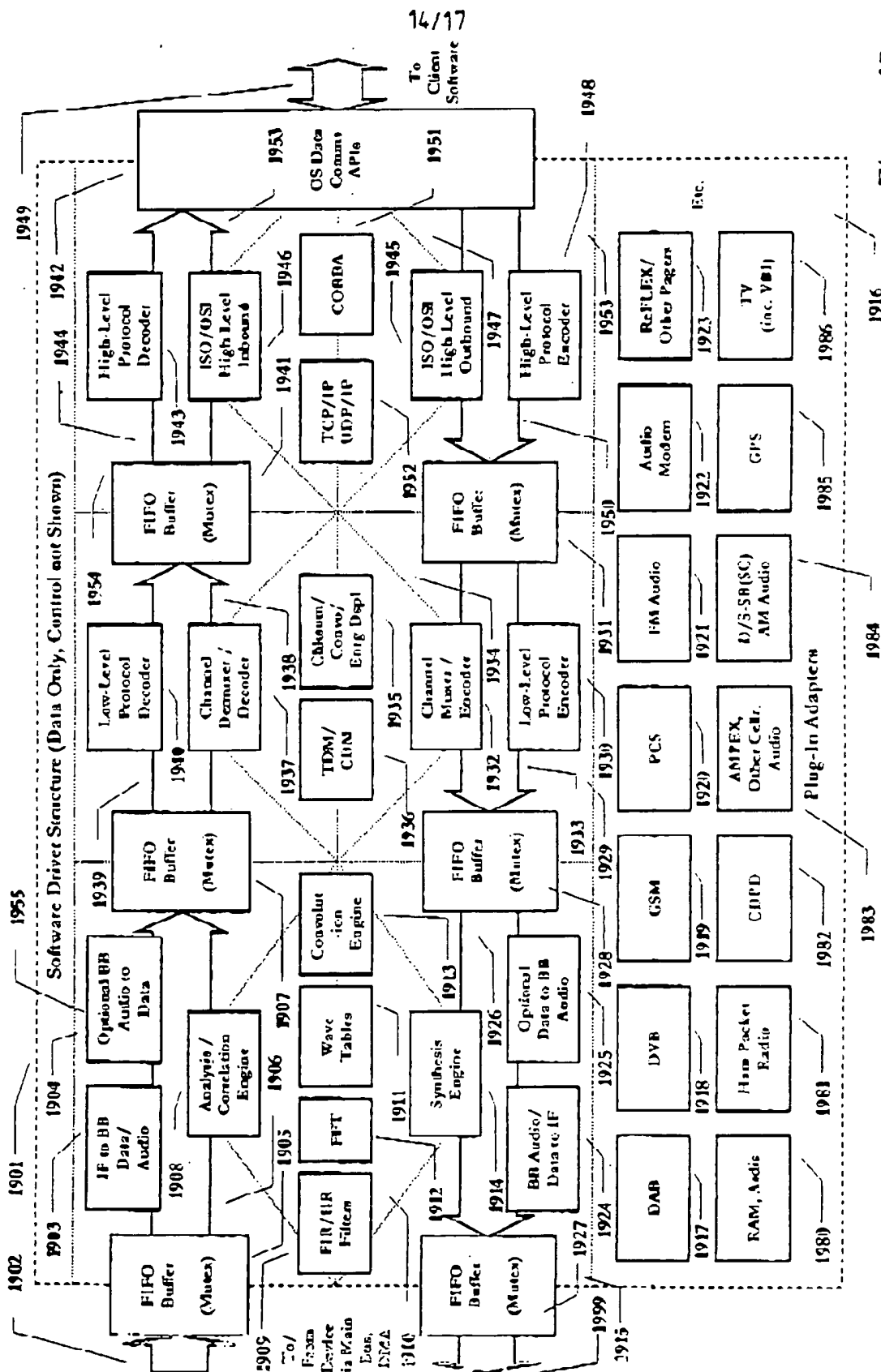


Figure 19

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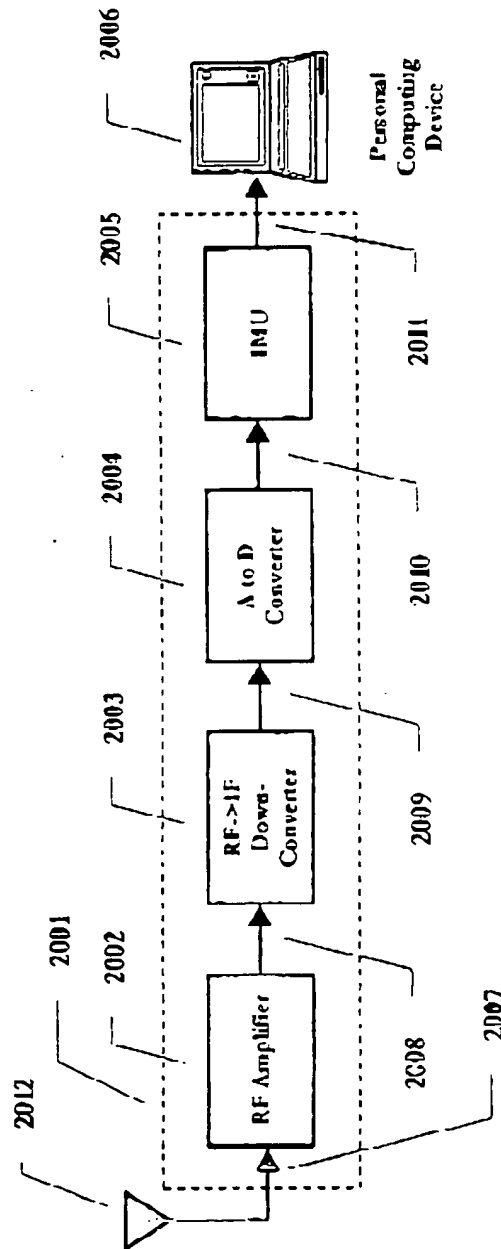


Figure 20



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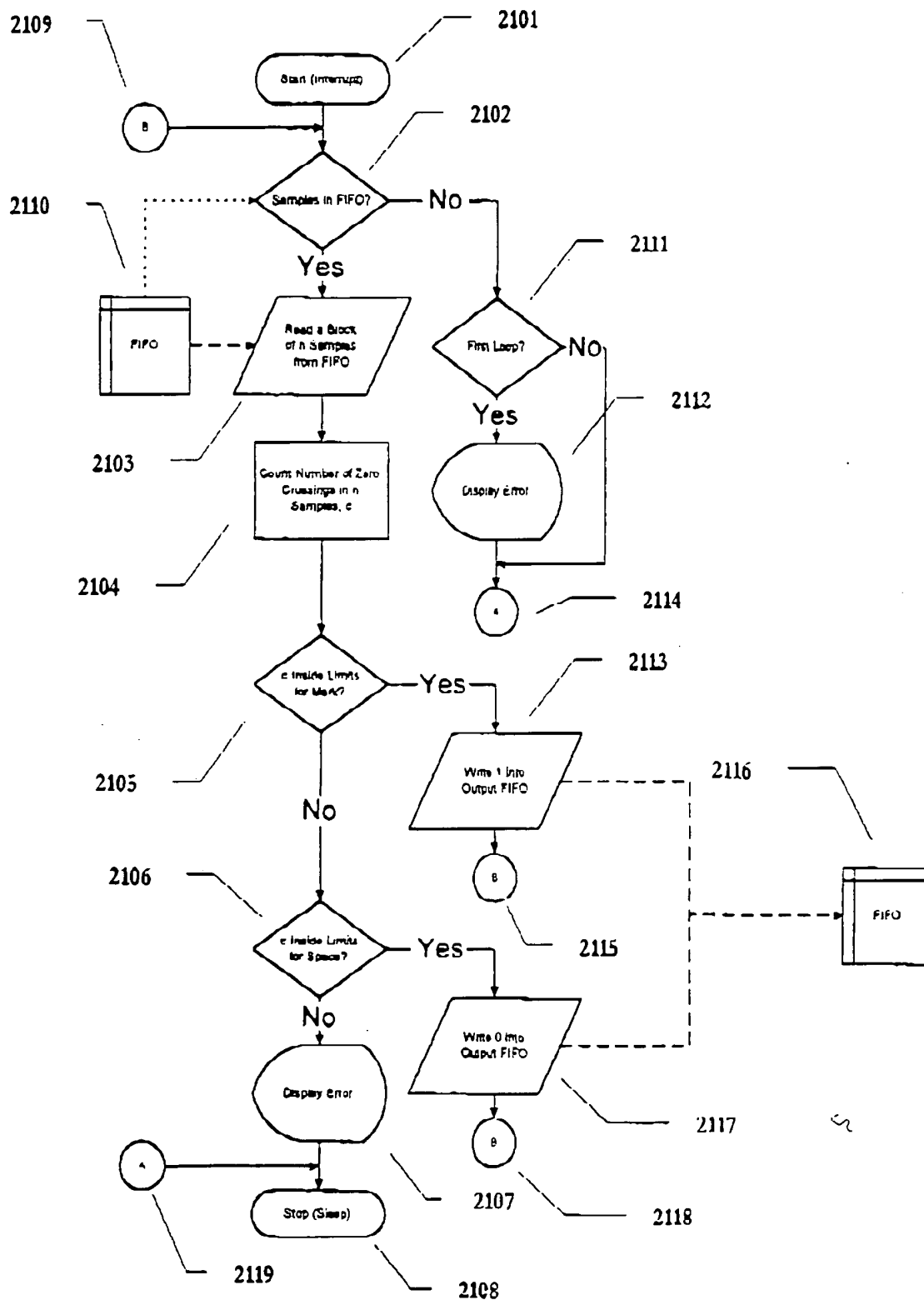


Figure 21

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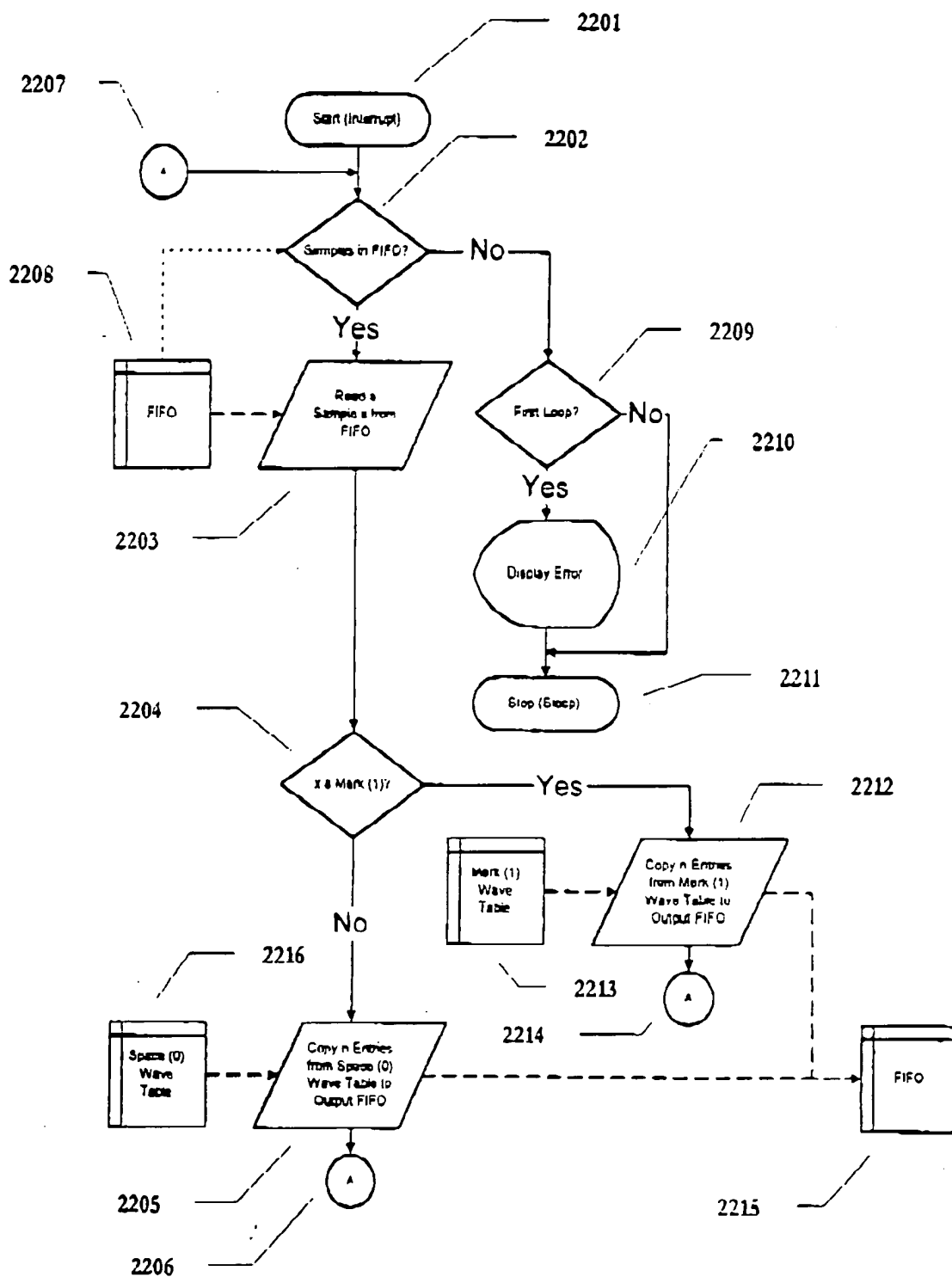


Figure 22